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The 3DNet-Catch Hydrologic Model: Development and Evaluation

Short title: The 3DNet-Catch Hydrologic Model

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Abstract

Hydrologic models are important for effective water resources management. They vary in complexity from parsimonious, spatially lumped, to physically-based, fully distributed models, which are generally expected to outperform the former. Wide applications of complex models are limited due to high data and computational demands. Therefore, a new approach based on well-balanced model complexity is needed to obtain reasonable simulation results with low data requirements. This paper presents a novel 3DNet-Catch hydrologic model, developed to represent key processes in sloped catchments under a temperate climate with modest data requirements. 3DNet-Catch includes runoff simulations within computational units by employing the interception, snow and soil routines, as well as runoff and channel routing. The soil routine, which is the key model feature, combines the SCS-CN method, an analytically integrated nonlinear outflow equation and the Brooks-Corey relation for unsaturated conductivity in an innovative manner. To advance runoff routing in 3DNet-Catch, an approach for analytical integration of the linear and nonlinear outflow equations is implemented. Most model parameters are physically meaningful, thus facilitating model calibration. The model structure can be adjusted according to soil and groundwater flow data, and it can include hydraulic structures, thereby providing adaptability to local conditions. A comprehensive hydrologic evaluation framework is established and conducted to examine whether 3DNet-Catch is adequately parameterised and can accurately reproduce catchment hydrologic response. The model parameterisation is evaluated by sensitivity, identifiability and correlation analyses. Model efficiency is quantified in terms of performance measures, hydrologic signatures and plausibility of the simulated hydrological processes. The results show high sensitivity of

30 the hydrologic variables and performance measures to the model parameters, particularly to those of the
 31 soil routine. The parameters are uncorrelated and generally well identifiable. The model performs
 32 equally well in the calibration and evaluation periods. High efficiency in the hydrological signatures
 33 related to the soil routine indicates its robustness. The results, therefore, suggest that 3DNet-Catch is a
 34 comprehensively parameterised, versatile hydrologic model. It realistically reproduces observed
 35 hydrographs with modest data requirements, thus being appropriate for both engineering applications
 36 and investigative catchment dynamics studies.

37

38 **Keywords**

39 3DNet-Catch; conceptual hydrologic models; continuous hydrologic simulations; model
 40 parameterisation; robust model evaluation framework; soil routine.

41

42 **Nomenclature**

43 α – precipitation gradient with elevation	65 I_a – initial abstraction
44 A – drainage area	66 K – vertical hydraulic conductivity
45 A_b – baseflow drainage area	67 K_d – coefficient of the surface runoff linear
46 α – ratio between standard deviation of the	68 reservoir
47 simulated and observed series	69 KGE – Kling-Gupta efficiency
48 B – maximum baseflow rate	70 $KGE_{\log Q}$ – Kling-Gupta efficiency for log-
49 b_{melt} – melt (degree-day) factor	71 transformed flows
50 $b_{melt,6}$ – melt factor on 21 st of June	72 $K_{gw-fast}$ – coefficient of the linear reservoir for
51 b_{melt} – melt factor on 21 st December	73 fast groundwater discharge routing
52 c – nonlinearity coefficient of the groundwater	74 KS – Kolmogorov-Smirnov test
53 reservoir	75 λ – snowpack temperature lag factor
54 CAN – capacity of the canopy reservoir	76 LAI – Leaf Area Index
55 CN – surve number	77 M – snowmelt (water equivalent)
56 cov_{soil} – soil cover index	78 n – pore-size distribution index
57 CU – computational unit	79 N_L – number of soil layers
58 D – thickness of a soil layer	80 $NLGW$ – nonlinear groundwater reservoir
59 Δt – time step	81 NSE – Nash-Sutcliffe efficiency
60 E_{can} – evaporation from canopy	82 p – effective soil porosity
61 E_{soil} – bare soil evaporation	83 P – precipitation
62 E_{sub} – snowpack sublimation	84 P' – precipitation and/or throughfall
63 E_t – transpiration	85 PET – potential evapotranspiration
64 FC – soil layer storage at field capacity	86 P_s – snowfall

87	PWP – soil layer storage at permanent wilting point	108	S_r – effective soil saturation
88		109	S_{snow} – snowpack storage
89	Q – flow	110	$S_{\text{snow},100}$ – threshold snowpack storage at which
90	Q_b – baseflow	111	the entire computational unit is covered in snow
91	q_d – maximum specific baseflow yield	112	STO – capacity of the soil layer, which is a
92	Q_d – direct runoff	113	product of soil porosity and the layer thickness
93	$Q_{\text{gw_fast}}$ – fast groundwater discharge	114	SW – storage of a soil layer
94	q_{surf} – surface runoff per unit area	115	SWC – soil water content
95	Q_{surf} – surface runoff from entire drainage area	116	θ_{FC} – soil water content at field capacity
96	r – Pearson correlation coefficient	117	θ_{PWP} – soil water content at permanent wilting
97	R – throughfall	118	point
98	R^2 – coefficient of determination	119	T – air temperature
99	s – share of a soil layer in the active soil zone	120	T_{lapse} – temperature lapse rate
100	S_b – storage of the NLGW reservoir	121	T_{melt} – snowmelt temperature
101	S_{can} – canopy reservoir storage	122	$T_{\text{R-S}}$ – discrimination temperature between
102	S_d – storage of the surface runoff linear reservoir	123	rainfall and snowfall
103	S_{max} – threshold of the NLGW reservoir	124	T_s – temperature of the snowpack
104	s_{max} – the value of S_{max} per unit area	125	V_b – baseflow volume over a time step
105	$S_{s,\text{max}}$ – potential soil retention at permanent	126	VE – volumetric efficiency
106	wilting point	127	V_{perc} – percolation volume over a time step
107	$S_{s,\text{max}}$ – potential soil retention at field capacity	128	w_{perc} – percolation for a soil layer

129

130

131 1. Introduction

132 Hydrologic (rainfall-runoff) models are widely applied for estimation of design flows, flow forecasting,
 133 assessment of climate change impacts or various water management scenarios (Beven, 2001a). Being
 134 so important for water resources management, these models are required to provide accurate simulation
 135 results under various hydrologic conditions, preferably with low data and computational requirements.
 136 Presently, there are numerous hydrologic models that vary in complexity from parsimonious ones, like
 137 GR2M (Perrin et al., 2001), *abcd* (Thomas, 1981) or HYMOD (Boyle et al., 2001), to complex, fully
 138 distributed models, such as PIHM (Qu and Duffy, 2007), tRIBS (Ivanov et al., 2004) or MIKE-SHE
 139 (Refsgaard and Storm, 1995). The former, so-called conceptual models provide an abstract
 140 representation of runoff generation, which involves storage elements and simplified relations that
 141 describe water transfers among them (e.g., Mendoza et al., 2014). There is a wide variety of conceptual

142 models and some of them have quite elaborate structures with numerous components. Physically-based
143 models rely on explicit descriptions of hydrological processes with differential equations grounded in
144 the conservation laws that have to be solved numerically (Hrachowitz and Clark, 2017). Unlike these
145 two modelling approaches, data-driven (black-box) models, such as those based on neural networks, do
146 not consider runoff generation processes (Pechlivanidis et al., 2011). Regardless of their complexity,
147 hydrologic models are always simplified representations of catchment processes.

148 Model complexity can be analysed with respect to: (1) model structure, (2) methods employed or (3)
149 spatial resolution. In terms of model structure, many hydrologic models omit a snow routine (Kauffeldt
150 et al., 2016). The original version of the HBV model (Bergström and Frosman, 1973) did not comprise
151 an interception routine, which was incorporated in subsequent model versions to obtain more realistic
152 model (Lindström et al., 1997). This enhancement improved performance of the HBV model in some
153 catchments, as demonstrated by Fenicia et al. (2008). The interception routine is also left out in some
154 complex physically-based models, such as CATHY (Sulis et al., 2012) or HYDRUS (Šimůnek et al.,
155 2009). Most hydrologic models do not simulate groundwater-surface interactions; hence, they cannot
156 accurately reproduce unsaturated zone dynamics in lowland catchments (Brauer et al., 2014). In spatially
157 lumped models runoff routing is based on arbitrary transfer functions, such as a triangular weighting
158 function included in the HBV-type models (Schaefli et al., 2014).

159 Some hydrological processes can be described in a simple manner, even in complex models. For
160 example, KINEROS (Woolhiser et al., 1990), MIKE-SHE, PREVAH (Viviroli et al., 2009), SWAT
161 (Neitsch et al., 2011) and VIC (Liang et al., 1994) use simple canopy methods. Snow routines in many
162 complex models (e.g., PIHM) are based on the simple degree-day method. Few other models, such as
163 ARNO (Todini, 1996), PRMS (Markstrom et al., 2015) or VIC include robust energy budget-based
164 methods. Soil water content (SWC) in conceptual models is usually simulated by employing simple
165 methods. For example, some models assume a constant percolation rate (e.g., HBV), while in others,
166 such as ARNO, GR4J (Perrin et al., 2003), LISFLOOD (Van Der Knijff et al., 2010), SEHR-ECHO
167 (Schaefli et al., 2014), SIMHYD (Chiew et al., 2010) or WetSpa (Shafii and Smedt, 2009) percolation
168 is expressed as a function of the SWC.

169 Greater model complexity usually implies an increase in the number of free parameters. Parameters of

170 the physically-based models generally have a physical meaning and can be inferred from data on land-
171 use, soil, vegetation and geology. However, some parameter adjustment is still required to achieve the
172 best fit to the observations (Beven, 2001b). This is a challenging task because many parameters have to
173 be estimated against few observed variables. Specifically, model calibration is usually performed against
174 observed flows only, and information contained in the observed flows series allows identification of up
175 to four parameters (Jakeman and Hornberger, 1993). Therefore, calibration of complex models is an ill-
176 posed optimisation problem (Ebel and Loague, 2006), which leads to low parameter identifiability and
177 equifinality that amplifies with the number of parameters (Beven and Binly, 1992).

178 Distributed models can capture spatial variability of catchment properties, meteorological and
179 hydrologic variables, and can simulate various spatial runoff components (Schuurmans and Bierkens,
180 2007). These models generally provide higher efficiency than the lumped ones (Chang and Chao, 2014),
181 but they are computationally and data demanding (i.e., they require high-quality input data).

182 A general assumption is that complex models yield realistic simulation results, i.e., that they provide
183 “right answers for the right reasons” (Kirchner, 2006). These models are, therefore, expected to
184 outperform parsimonious ones (Wagner et al., 2003), especially under conditions different from those
185 encountered in the calibration period (Kuczera and Parent, 1998). However, examples of the opposite
186 behaviour can be found in the literature: for example, simple models can result in lower decrease in
187 model performance over evaluation periods than complex models, probably due to the over-
188 parametrisation of the latter (e.g., Perrin et al., 2001; Orth et al., 2015). Furthermore, Orth et al. (2015)
189 reported that a simple model outperformed two models with more complex structures during dry periods.

190 Therefore, complex, fully distributed models are not necessarily the best choice. Moreover, model
191 selection depends on data availability and specific application needs (Hrachowitz and Clark, 2017). For
192 example, parsimonious models can be suitable for simulations in large catchments with long (e.g.,
193 monthly) time steps (van Esse et al., 2013).

194 To obtain realistic simulation results with low data and computational requirements, a model with well-
195 balanced structural complexity is needed. The model structure should be sufficiently complex to
196 replicate the key runoff generation processes, and thereby capture nonlinear and nonadditive catchment
197 behaviour (Kirchner, 2006). Preferably, the model should be easily adaptable to local conditions

198 (Mendoza et al., 2014). This is in line with recommendations made by Seibert and McDonnell (2002),
199 who suggested the use of “soft data” on catchment behaviour (i.e., qualitative knowledge). Additionally,
200 a model should be able to represent various river engineering interventions (e.g., reservoirs, diversions)
201 and changing land use. Presently, few models, such as HEC-HMS (Feldman, 2000), HYPE (Lindström
202 et al., 2010), MIKE-SHE or TOPKAPI (Ciarapica and Todini, 2002), can integrate hydraulic structures.
203 Urbanised areas, which are usually regarded as impervious zones (e.g., ARNO, HEC-HMS,
204 LISFLOOD, PRMS), are also often disregarded.

205 To ensure suitability to local conditions, some models were specifically developed to reflect prevailing
206 runoff processes in particular regions. For example, WaSiM-ETH (Schulla, 2017), SEHR-ECHO or
207 PREVAH were developed for Alpine catchments with extensive snow cover and glaciers. The
208 WALRUS model (Brauer et al., 2014) was developed principally for lowlands with the dominant
209 influence of high groundwater levels. In order to provide adaptability, some models enable users to
210 create their own structure (e.g., HEC-HMS) or to select among several methods offered for e.g.,
211 infiltration modelling, runoff and channel routing (e.g., MIKE-SHE). To obtain the optimal structure for
212 a considered catchment, Fenicia et al. (2011) proposed a framework for model development from
213 generic elements (reservoirs, lag functions). Similarly, the FUSE framework (Framework for
214 Understanding Structural Errors) enables model development by combining components of various
215 existing hydrologic models (Clark et al., 2008).

216 This paper presents a novel 3DNet-Catch hydrologic model developed at the Faculty of Civil
217 Engineering of the University of Belgrade. The model is intended for simulations of the key hydrological
218 processes in sloped catchments under a temperate climate. The 3DNet-Catch model has been developed
219 aiming at maximising model adequacy but keeping the model structure as parsimonious as possible. The
220 model development has principally been focused to provide (1) well-balanced structural complexity;
221 and (2) a maximal adaptability/suitability to local conditions in a catchment, both of which are crucial
222 issues in hydrological modelling. Specifically, well-balanced structural complexity is needed to provide
223 realistic simulation results with modest data requirements and thereby enables model applicability even
224 to regions with sparse observation networks. Model adaptability further enhances representation of
225 hydrological processes in a considered catchment. Special attention during the development of 3DNet-

226 Catch is given to the soil routine because soil moisture dynamics is the primary source of nonlinearity
227 in the response of this type of catchments (Todini, 1996). The soil routine of 3DNet-Catch combines
228 simplicity of the SCS-CN method for runoff volume calculation with explicit simulation of SWC. It
229 represents an innovative combination of the SCS method, water balance and analytically integrated
230 nonlinear outflow equations, and the Brooks-Corey (1964) relation for unsaturated hydraulic
231 conductivity. This approach avoids common problems in applying the SCS-CN method for continuous
232 simulations, such as water volume conservation, runoff overestimation in-between rain events, or
233 sudden jumps in the curve number (*CN*) values (e.g. Mishra and Singh, 2004; Cho and Engel, 2018).
234 Another novel component of 3DNet-Catch is analytical integration of nonlinear outflow equations that
235 describe percolation and baseflow routing. In addition, most model parameters have a physical meaning,
236 which is an important model feature since parameter (initial) values can be inferred from soil, land use
237 and vegetation data. The 3DNet-Catch model can be easily adapted to the conditions in a specific
238 catchment, i.e., structure to be adjusted according to local soil and groundwater flow-related data. It also
239 allows inclusion of various hydraulic structures, such as reservoir or diversions. The model spatial
240 resolution can range from lumped to fully distributed. Therefore, the model can be easily adapted to fit
241 specific application requirements, ranging from operational engineering practice to sophisticated
242 research studies.

243 The focus of this paper is on a comprehensive hydrologic evaluation of the model. The proposed robust
244 evaluation framework is intended to examine whether a hydrologic model comprising relatively simple
245 methods for simulation of different runoff components can reasonably reproduce behaviour of
246 catchments under a temperate climate. The evaluation framework compiles a number of methods to
247 examine thoroughly whether the 3DNet-Catch model is: (1) comprehensively parameterised, and
248 (2) able to reproduce reasonably a catchment hydrologic response. Model parameterisation is evaluated
249 by conducting sensitivity, identifiability and correlation analyses. Performance metrics calculated from
250 flows and flow-related hydrological signatures are considered to quantify model effectiveness. Further,
251 it is assessed whether the model realistically reproduces different runoff components. For example,
252 simulated snow cover is compared to the snow observations. Simulations of other hydrological
253 components are assessed qualitatively, by visual inspection of the simulated series considering the

254 presumed patterns. For the evaluation purposes, a basic, semi-lumped setup of the model is applied for
255 simulations in the Mlava catchment in Serbia. Considerations of the model flexibility in spatial
256 resolution or structure are beyond the scope of this paper.

257

258 **2. The 3DNet-Catch Hydrologic Model**

259 *2.1. Spatial Discretisation and Catchment Computational Structure*

260 The 3DNet-Catch model was originally developed as a component of the 3DNet Platform, which is a
261 comprehensive GIS-oriented tool for water management. Hydroinformatic aspects of the 3DNet
262 Platform are elaborated by Stanić et al. (2017), while this paper focuses on the 3DNet-Catch hydrologic
263 model.

264 The early version of the 3DNet-Catch model was fully distributed, i.e., runoff is simulated within
265 irregularly shaped computational units (CUs). Each unit is assigned a unique meteorological forcing and
266 parameter set. These computational units are represented by Voronoi polygons generated over the
267 triangles of the TIN terrain model (*Triangulated Irregular Network*) and according to the stream network
268 and water divide. This type of discretisation provides a balance between computational accuracy and
269 spatial resolution, i.e., simulation time (Dehotin and Braud, 2008). However, this approach is seldom
270 applied in hydrological modelling (an example is the tRIBS model; Ivanov et al., 2004). Further model
271 development provided spatial flexibility by enabling the CU aggregation to subcatchment or catchment
272 level to obtain a semi-distributed or a lumped setup. Since 3DNet-Catch is implemented as Dynamic
273 Link Library (*.dll*) it can be used independently of the 3DNet platform with externally created CUs, such
274 as elevation zones or digitised subcatchments. Such implementation of the model also warrants
275 computational efficiency (Stanić et al., 2017).

276 The model application via the 3DNet platform provides flexibility to a catchment computational
277 structure. The catchment computational structure can easily include hydraulic structures (e.g., reservoirs,
278 diversions). Additionally, groundwater flow can be routed to drainage point different from the
279 topographical outlet that surface runoff is routed to.

280

281 2.2. *Model Basic Description and Assumptions*

282 Hydrological modelling with 3DNet-Catch consists of runoff volume simulation, and runoff and channel
283 routing (Fig. 1). Runoff volume is simulated by employing three routines that represent the key
284 hydrological processes and components: canopy interception, snow cover and soil moisture dynamics
285 (e.g. Rakovec et al., 2016). Runoff is simulated in each CU and routed to the drainage point. Flow at a
286 drainage point comprises direct runoff, fast groundwater (shallow aquifer) response and baseflow. All
287 model routines are interconnected in such way that water volume conservation is preserved.

288 The 3DNet-Catch model is based on the following assumptions:

- 289 – No spatial heterogeneity within a computational unit: meteorological forcing, soil properties, land
290 use and vegetation types are uniform within a CU.
- 291 – Precipitation is considered snowfall at the air temperatures below the rainfall-snowfall
292 discrimination temperature T_{R-S} , and rainfall otherwise.
- 293 – Snowfall interception by canopy is not simulated. Snow refreezing, water holding capacity of the
294 snowpack, heat exchange with the ground and temperature variation along the snowpack depth are
295 neglected.
- 296 – Surface water retention (surface depression storage) is not simulated.
- 297 – Soil is represented by a surface and (optionally several) subsurface layers, comprising up to few
298 meters in total. The deep groundwater system is not included in the model. Soil properties are
299 uniform along the layer depth, but can differ across the layers.
- 300 – Water in the unsaturated soil is gravity driven and flows vertically downwards. Capillary uprise is
301 not simulated.
- 302 – Evaporation and transpiration are modelled as distinct processes: water is assumed to evaporate
303 from the canopy and bare surface soil, and transpires from the subsurface layer(s). The snowpack
304 sublimation is also accounted for.
- 305 – Neither saturated nor unsaturated lateral flow among CUs is simulated; runoff is routed from a unit
306 to the drainage point.
- 307 – No flow exchange between a watercourse and the riparian zone. Evaporation and seepage from a

308 river section are assumed negligible.

309 Some of the aforementioned assumptions are generally accepted in hydrological modelling: for example,
 310 runoff is usually routed from a CU to a drainage point directly (e.g. Gupta et al., 2012), and properties
 311 of a CU are commonly considered spatially uniform (an exception is the VIC model). Snow routines of
 312 most hydrologic models are simplified: for example, a simple routine based on the degree-day method
 313 without simulating snowfall interception by canopy is also implemented in PIHM model. A sharp
 314 distinction between rainfall and snowfall is assumed in e.g. ARNO and the original version of the HBV
 315 model. Water holding capacity of the snowpack and refreezing is disregarded in many models (few
 316 exceptions are e.g. HBV, PRMS, WaSiM-ETH). Surface water retention in depressions is also
 317 frequently omitted (e.g. in ARNO and HBV), as well as capillary uprise (few exceptions to this
 318 assumption among conceptual models are e.g. HBV, WALRUS or WetSpa). Differentiation between
 319 bare soil evaporation and transpiration is made in few models, such as LISFLOOD, MIKE-SHE or
 320 tRIBS. Advanced groundwater flow simulations and channel routing cannot be performed by hydrologic
 321 models and require application of hydraulic models.

322

323 [Fig. 1. is placed here.]

324

325 2.3. Interception Routine

326 Rainfall interception by canopy depends on the vegetation type, and it varies throughout the growing
 327 season in deciduous vegetation. In 3DNet-Catch, vegetation is represented by a canopy reservoir with
 328 capacity $CAN(t)$ proportional to the Leaf Area Index $LAI(t)$:

$$329 \quad CAN(t) = LAI(t) \frac{CAN_{\max}}{LAI_{\max}} \quad (1)$$

330 where CAN_{\max} and LAI_{\max} represent maximum values of the reservoir capacity and LAI , respectively.

331 The $LAI(t)$ can be introduced either as an input time series or calculated for each day of the growing
 332 season according to a sine curve.

333 The water balance of the canopy reservoir includes rainfall $P(t)$, throughfall $R(t)$ and evaporation $E_{\text{can}}(t)$:

$$334 \quad \frac{dS_{\text{can}}}{dt} = P(t) - R(t) - E_{\text{can}}(t) \quad (2)$$

335 where S_{can} denotes the reservoir storage (volume of water per unit area).

336 Canopy throughfall depends on the reservoir storage after the interception, and its current capacity:

$$337 \quad R(t) = \min \left[\max \left[0, (S_{\text{can}}(t - \Delta t) + P(t) - \text{CAN}(t)) \right]; P(t) \right] \quad (3)$$

338 where $S_{\text{can}}(t - \Delta t)$ is the storage at the end of the previous time step.

339 Evaporation from canopy is limited by the reservoir storage and potential evapotranspiration $PET(t)$:

$$340 \quad E_{\text{can}}(t) = \min \left[(S_{\text{can}}(t - \Delta t) + P(t) - R(t)); PET(t) \right] \quad (4)$$

341

342 2.4. Snow Routine

343 Snowpack water balance includes snowfall $P_s(t)$, snowmelt $M(t)$ and sublimation $E_{\text{sub}}(t)$, all of which
344 are expressed in millimetres of water equivalent:

$$345 \quad \frac{dS_{\text{snow}}}{dt} = P_s(t) - M(t) - E_{\text{sub}}(t) \quad (5)$$

346 where S_{snow} denotes the snowpack storage.

347 Sleet is not recognised in the model, so precipitation at temperatures below the threshold T_{R-S} is
348 considered snowfall. Since snowfall interception by canopy is not accounted for, total snowfall is added
349 to the snowpack (the same assumption is adopted in, e.g., the PIHM model; Qu and Duffy, 2007).

350 Snowfall interception depends on the canopy and meteorological conditions. Consequently, its
351 computation requires vast meteorological observations (e.g., precipitation, temperature, wind direction
352 and velocity, relative humidity) and canopy data, such as LAI , canopy coverage and height (Hedstrom
353 and Pomeroy, 1998). Coniferous vegetation can retain over 30% of snowfall (Kozii et al., 2017), but
354 less than 5% of total snowfall is intercepted at low LAI values, as in deciduous vegetation during winters
355 (Pomeroy et al., 2002). Since simulation of snowfall interception would considerably increase data
356 requirements without substantial enhancement of simulation accuracy in catchments with prevailing
357 deciduous vegetation in a temperate climate, considering small snowfall amount that can be intercepted
358 during the dormant season (Pomeroy et al., 2002), this model component is omitted. However, this

359 simplification might restrict 3DNet-Catch applicability to catchments with prevalent coniferous
360 vegetation in cold climates.

361 Snowmelt $M(t)$ is computed from the air (T), snowpack (T_{snow}) and snowmelt (T_{melt}) temperatures
362 (Neitsch et al., 2011):

$$363 \quad M(t) = \min \left[\max \left[0; b_{\text{melt}}(t) \cdot \text{snow}_{\text{cov}}(t) \cdot \left(\frac{T_{\text{snow}}(t) + T(t)}{2} - T_{\text{melt}} \right) \right]; (S_{\text{snow}}(t - \Delta t) + P_s(t)) \right] \quad (6)$$

364 where $b_{\text{melt}}(t)$ is the melt factor (in $\text{mm}^\circ\text{C}^{-1}\text{day}^{-1}$), $\text{snow}_{\text{cov}}(t)$ represents the share of the CU area covered
365 with snow and $S_{\text{snow}}(t - \Delta t)$ is the snowpack storage at the end of the previous time step. As snowfall
366 occurs at temperatures above T_{melt} , it generally holds $T_{\text{R-S}} > T_{\text{melt}}$ (e.g., Schaeffli et al., 2014).

367 The melt factor $b_{\text{melt}}(t)$ varies during the year according to a sine curve that reaches a minimum on the
368 21st December ($b_{\text{melt},12}$) and a maximum on the 21st June ($b_{\text{melt},6}$) (Neitsch et al., 2011):

$$369 \quad b_{\text{melt}}(t) = \frac{b_{\text{melt},6} + b_{\text{melt},12}}{2} + \frac{b_{\text{melt},6} - b_{\text{melt},12}}{2} \sin \left(\frac{2\pi}{365} (D_n(t) - 81) \right) \quad (7)$$

370 where D_n stands for the day of a year. To avoid model overparameterisation, dependencies of b_{melt} on
371 e.g., elevation, wind velocity, albedo, insolation, vapour pressure, land use or aspect (Anderson, 2006;
372 He et al., 2014) and increase during rainy days (Melloh, 1999) are neglected.

373 The current snow_{cov} value is calculated from the snowpack storage and the minimum storage at which
374 the entire CU area is covered with snow $S_{\text{snow},100}$ (Neitsch et al., 2011):

$$375 \quad \text{snow}_{\text{cov}}(t) = \min \left[\frac{S_{\text{snow}}(t - \Delta t) + P_s(t)}{S_{\text{snow},100}}; 1 \right] \quad (8)$$

376 Snowpack temperature $T_{\text{snow}}(t)$ is obtained by weighting the snowpack temperature in the previous time
377 step $T_{\text{snow}}(t - \Delta t)$ and the current air temperature $T(t)$:

$$378 \quad T_{\text{snow}}(t) = (1 - \lambda) \cdot T_{\text{snow}}(t - \Delta t) + \lambda \cdot T(t) \quad (9)$$

379 where λ is the snowpack temperature lag factor, which takes a value between 0 and 1, and is inversely
380 proportional to the snowpack thickness (Zhang et al., 2009).

381 Snowpack sublimation $E_{\text{sub}}(t)$ depends on the current snowpack storage and $PET(t)$:

$$382 \quad E_{\text{sub}}(t) = \min \left[(S_{\text{snow}}(t - \Delta t) + P_s(t) - M(t)); PET(t) \right] \quad (10)$$

383

384 2.5. Soil Routine

385 This routine is intended for simulations of water content in the unsaturated soil zone. In 3DNet-Catch,
 386 the soil is represented by one surface layer and an arbitrary number (N_L-1) of subsurface ones. Each
 387 layer is characterised by its thickness D and following soil properties/model parameters: effective
 388 porosity p , vertical saturated hydraulic conductivity K_{sat} , volumetric water content at permanent wilting
 389 point θ_{PWP} and at field capacity θ_{FC} , and pore-size distribution index n .

390 Surface soil layer on the top of a soil column in 3DNet-Catch is imposed to enable differentiation
 391 between processes occurring at the soil surface and within underlying soil layer(s), such as bare soil
 392 evaporation and transpiration. This layer is considerably thinner than the subsurface ones; namely, the
 393 surface layer is few centimetres thick (e.g., Vasilić et al. (2012) assumed thickness of 10 cm), while
 394 subsurface layers can be an order of magnitude thicker.

395 The water balance of the surface soil layer includes throughfall and / or snowmelt P' , surface runoff
 396 q_{surf}^* , percolation to the subsurface layer $w_{\text{perc},1}$ and bare soil evaporation E_{soil} :

$$397 \frac{dS_{\text{surf}}}{dt} = P'(t) - q_{\text{surf}}^*(t) - w_{\text{perc},1}(t) - E_{\text{soil}}(t) \quad (11)$$

398 The initial surface runoff amount $q_{\text{surf}}^*(t)$ is simulated employing the SCS-CN method, but it can be
 399 further augmented by excess water from the subsurface layers (saturation excess water). The SCS-CN
 400 method is selected because of its simplicity, reliable results (Mishra and Singh, 2004) and available
 401 parameter estimates due to vast field investigations (Yu, 1998):

$$402 q_{\text{surf}}^*(t) = \begin{cases} \frac{(P'(t) - I_a(t))^2}{P'(t) - I_a(t) + S(t)} & \text{if } P'(t) > I_a(t) \\ 0 & \text{otherwise} \end{cases} \quad (12)$$

403 where I_a is the initial abstraction, which is obtained by subtracting canopy interception from the assumed
 404 initial abstraction $I_{a,\text{rel}}$ (dimensionless free parameter):

$$405 I_a(t) = \max[0; I_{a,\text{rel}} \cdot S(t) - (P(t) - R(t))] \quad (13)$$

406 The relation above enables continuous estimation of the initial abstraction according to the canopy and
 407 soil storages, which is important for accurate runoff simulations (Cho and Engel, 2018). The term $S(t)$
 408 denotes the current potential soil retention capacity calculated from the SWC in the active soil zone that

409 controls surface runoff generation and can comprise surface and subsurface layers. In this way, surface
 410 runoff is computed with respect to the actual SWC of the active zone, which is continuously simulated
 411 by applying the water balance equation, while the CN value is used for estimation of the maximal
 412 potential retention. A share of the L^{th} soil layer in the active zone s_L is calculated as follows:

$$413 \quad s_L = \begin{cases} \min \left[1; \frac{S_{s,\max} - \sum_{L=1}^{N_L} D_L \cdot (p_j - \theta_{PWP,j})}{D_L} \right] & \text{if } S_{s,\max} > D_1 \cdot (p_1 - \theta_{PWP,1}) \\ 0 & \text{otherwise} \end{cases} \quad (14)$$

414 $S_{s,\max}$ denotes the maximal potential retention that corresponds to the water content at permanent wilting
 415 point (antecedent moisture condition I), and it is calculated from the corresponding CN value (CN_1). The
 416 value of CN_1 is obtained from the CN that is corrected to account for actual terrain slope (see
 417 Supplementary material).

418 The current potential soil retention $S(t)$ is:

$$419 \quad S(t) = \sum_{L=1}^{N_L+1} s_L \cdot (STO_L - SW_L(t - \Delta t)) \quad (15)$$

420 where $SW_L(t - \Delta t)$ is the L^{th} soil layer storage at the end of the previous time step and STO_L denotes
 421 capacity of the L^{th} layer calculated by multiplying its thickness by the effective porosity.

422 Water from a soil layer percolates under gravity into subsurface at the SWC above the residual one,
 423 which is assumed to be equal to the water content at permanent wilting point θ_{PWP} . Percolation is
 424 simulated by using an analytically integrated nonlinear outflow equation, with the Brooks-Corey relation
 425 (1964) for unsaturated hydraulic conductivity:

$$426 \quad w_{\text{perc},1}(t) = K_{\text{sat},1} \cdot \Delta t_{\text{sat}}(t) + (STO_1 - PWP_1) \cdot \left(S_{r,1}(t) - \left(S_{r,1}^{(1-n_1)}(t) + \frac{K_{\text{sat},1}}{STO_1 - PWP_1} \cdot (n_1 - 1) \cdot \Delta t_{\text{unsat}}(t) \right)^{\frac{1}{1-n_1}} \right) \quad (16)$$

427 where Δt_{sat} and Δt_{unsat} denote the time of percolation in saturated and unsaturated conditions, respectively
 428 (Fig. 2). The former is calculated from Eq. (17), while Δt_{unsat} is its complement to the full time step.
 429

$$\Delta t_{\text{sat}}(t) = \begin{cases} \min \left[\frac{SW_1^*(t) - STO_1}{K_{\text{sat},1}}; \Delta t \right] & \text{if } SW_1^*(t) \geq STO_1 \\ 0 & \text{otherwise} \end{cases} \quad (17)$$

431 PWP_1 in Eq. (16) denotes the surface layer storage at θ_{PWP} and $S_{r,1}$ is effective soil saturation (Brutsaert,
432 2005):

$$S_{r,1}(t) = \min \left[\frac{SW_1^*(t) - PWP_1}{STO_1 - PWP_1}; 1 \right] \quad (18)$$

434 where SW_1^* is the storage obtained by adding throughfall and/or snowmelt to the storage at the end of
435 previous time step, and by subtracting initially estimated surface runoff q_{surf}^* :

$$SW_1^*(t) = SW_1(t - \Delta t) + P'(t) - q_{\text{surf}}^*(t) \quad (19)$$

437

438 [Fig. 2. is placed here.]

439

440 If $SW_1^*(t)$ exceeds the layer capacity STO_1 , the storage is set to STO_1 and the excess water amount is
441 added to the initially estimated surface runoff $q_{\text{surf}}^*(t)$, representing saturation excess runoff:

$$SW_1^{**}(t) = \begin{cases} SW_1^*(t) & \text{if } (SW_1^*(t) - w_{\text{perc},1}(t)) \leq STO_1 \\ STO_1 & \text{otherwise} \end{cases} \quad (20)$$

$$q_{\text{surf}}^{**}(t) = \begin{cases} q_{\text{surf}}^*(t) & \text{if } (SW_1^*(t) - w_{\text{perc},1}(t)) \leq STO_1 \\ q_{\text{surf}}^*(t) + (SW_1^*(t) - w_{\text{perc},1}(t) - STO_1) & \text{otherwise} \end{cases} \quad (21)$$

444 Bare soil evaporation $E_{\text{soil}}^*(t)$ is initially calculated as follows:

$$E_{\text{soil}}^*(t) = (PET(t) - E_{\text{can}}(t) - E_{\text{sub}}(t)) \cdot \text{cov}_{\text{soil}}(t) \quad (22)$$

446 where cov_{soil} is the soil cover index representing the share of bare soil in a CU. It is calculated from the
447 $LAI(t)$ (Supplementary material). Soil evaporation declines with soil drying, so the $E_{\text{soil}}^*(t)$ value is
448 corrected accordingly (Supplementary material). The surface layer storage at the end of a time step is
449 calculated by subtracting value of the actual bare soil evaporation from $SW^{**}(t)$.

450

451 The water balance of the L^{th} subsurface soil layer comprises percolation from the overlying layer $w_{\text{perc},(L-1)}$

452 $w_{perc,L}$), percolation into the deeper layer/groundwater reservoir $w_{perc,L}$ and actual transpiration, i.e., water
 453 uptake by plants $E_{t,L}$ (Fig. 1):

$$454 \quad \frac{dS_L}{dt} = w_{perc,(L-1)}(t) - w_{perc,L} - E_{t,L}(t) \quad (23)$$

455 Percolation from the L^{th} layer is calculated using Eq. (16), but with the parameters specified for this
 456 layer. If the L^{th} layer storage after receiving percolation from the overlying layer and percolation into
 457 the deeper one/groundwater reservoir $SW_L^*(t)$ exceeds its capacity, the excess water amount is added to
 458 surface runoff and the layer storage is set to STO_L :

$$459 \quad q_{\text{surf}}(t) = \begin{cases} q_{\text{surf}}^{**}(t) & \text{if } SW_L(t) \leq STO_L \\ q_{\text{surf}}^{**}(t) + (SW_L^*(t) - STO_L) & \text{otherwise} \end{cases} \quad (24)$$

460

461 Potential transpiration ($E_{t,pot}$) from the subsurface layers is calculated by subtracting actual sublimation,
 462 and actual canopy and bare soil evaporation from $PET(t)$. The $E_{t,pot}$ value is distributed among the
 463 subsurface layers according to their thicknesses. Actual transpiration is calculated with respect to the
 464 current water content of these layers (Supplementary material). Storage of the L^{th} subsurface layer at the
 465 end of a time step is obtained by subtracting actual transpiration from $SW_L^{**}(t)$, which represents smaller
 466 of $SW_L^*(t)$ and STO_L .

467 The 3DNet-Catch soil routine requires estimation of numerous parameters, but the number of free
 468 parameters can be reduced by assigning the same parameter values to several/all layers. Further, the
 469 initial estimates of most parameters can be inferred from data on land-use and soil types and vegetation.
 470 To avoid overparameterisation, the basic model setup (i.e., one surface and one subsurface layer) should
 471 be used in absence of soil data that would suggest a complex structure with several different layers.

472

473 2.6. Runoff Routing

474 According to the basic model assumptions (Section 2.2), runoff is routed from a CU to the drainage
 475 point. This approach is frequently adopted in hydrological modelling since it is computationally efficient
 476 (Gupta et al., 2012). Surface runoff and percolation from the deepest soil layer are routed to the drainage
 477 point by applying linear and nonlinear outflow equations. Surface runoff is routed through an arbitrary

478 number of linear reservoirs, yielding direct runoff Q_d (Fig. 3). The percolation volume inflows to a
 479 nonlinear groundwater (NLGW) reservoir with the threshold S_{max} . Water volume below S_{max} is
 480 transformed by applying the nonlinear outflow equation, resulting in baseflow Q_b . The nonlinear outflow
 481 equation is adopted to improve model performance over prolonged dry periods (Wittenberg, 1999).
 482 Water volume exceeding S_{max} is routed through a linear reservoir, and it constitutes fast groundwater
 483 discharge Q_{gw_fast} . Total flow at a drainage point is the sum of these three components:

$$484 \quad Q(t) = Q_d(t) + Q_b(t) + Q_{gw_fast}(t) \quad (25)$$

485 Optionally, the integration of the 3DNet-Catch model with the 3DNet platform allows routing of fast
 486 groundwater discharge and baseflow to a different point from the surface runoff. In this way, soft data
 487 on groundwater flow, obtained from hydrogeological surveys, can be included in the model. This option
 488 is primarily intended for karstic catchments (Vasilić et al., 2012).

489 Each term in Eq. (25) represents mean flow rate over a time step and it is obtained by dividing the
 490 outflow volume in the time step by the time step length Δt . The outflow volumes are calculated by
 491 combining the balance and outflow equations, yielding ODEs that are analytically integrated over a
 492 computational time step (see Supplementary material). The analytical integration is preferred over
 493 numerical schemes that cause non-smoothness of the response surface, which hinder model calibration
 494 (Kavetski and Clark, 2010).

495 [Fig. 3. is placed here.]

496
 497 The water balance of the linear reservoir for surface runoff routing consists of surface runoff from the
 498 drainage area Q_{surf} and direct runoff $Q_d(t)$:

$$499 \quad \frac{dS_d(t)}{dt} = Q_{surf}(t) - Q_d(t) \quad (26)$$

500 Surface runoff Q_{surf} is calculated assuming that surface runoff per unit area $q_{surf}(t)$ from the drainage area
 501 A is constant over a time step:

$$502 \quad Q_{surf}(t) = \frac{q_{surf}(t) \cdot A}{\Delta t} \quad (27)$$

503 The reservoir coefficient K_d may be either optimised or estimated from the time of concentration

504 (Supplementary material). Optionally, surface runoff can be routed through several reservoirs with the
 505 same coefficient value.

506 Baseflow Q_b is obtained by routing of the percolation volume through the NLGW reservoir with the
 507 nonlinearity coefficient c and the threshold S_{\max} (Fig. 3).

508 Combining the nonlinear outflow and water balance equations results in a nonhomogeneous, nonlinear
 509 first-order ODE. Assuming that the inflow to the NLGW (V_{perc}) occurs instantaneously at the beginning
 510 of a time step yields a homogenous ODE, which is further integrated over the time step following the
 511 approach presented by Todini (1996) to obtain baseflow volume V_b :

$$512 \quad V_b(t) = S_{b,0} \cdot \left(1 - \left(1 - \frac{(1-c) \cdot Q_{b,0} \cdot \Delta t}{S_{b,0}} \right)^{\frac{1}{1-c}} \right) \quad (28)$$

513 where $S_{b,0}$ and $Q_{b,0}$ denote the reservoir storage and baseflow at the beginning of the time step,
 514 respectively. The former is the sum of the reservoir storage at the end of the previous time step and the
 515 percolation volume in the current step $V_{\text{perc}}(t)$:

$$516 \quad S_{b,0} = \min \left[\left(S_b(t - \Delta t) + V_{\text{perc}}(t) \right); S_{\max} \right] \quad (29)$$

517 $V_{\text{perc}}(t)$ is a product of $w_{\text{perc}}(t)$ and the baseflow drainage area A_b , which optionally may differ from the
 518 topographic drainage area A .

519 The threshold S_{\max} is calculated from s_{\max} , which represents volume per unit area and it is a free model
 520 parameter:

$$521 \quad S_{\max} = s_{\max} \cdot A_b \quad (30)$$

522 Baseflow at the beginning of a time step $Q_{b,0}$ depends on the storage $S_{b,0}$:

$$523 \quad Q_{b,0} = B \cdot \left(\frac{S_{b,0}}{S_{\max}} \right)^c \quad (31)$$

524 Coefficient B denotes the highest baseflow rate and it is obtained by multiplying A_b by the maximum
 525 specific baseflow yield, i.e., baseflow rate per unit area q_d (free parameter).

526 Water volume exceeding S_{\max} is instantaneously added to the fast groundwater reservoir with the
 527 coefficient $K_{\text{gw_fast}}$ (Fig. 3). Optionally, this component can be disabled by imposing a high value of s_{\max} .

528

529 2.7. *Channel Routing*

530 Channel routing is based on linear outflow equations, i.e., river sections are represented by linear
531 reservoirs. This method enables peak delay and attenuation, but backwater effects cannot be simulated
532 (Beven, 2005). The water balance of a river reach includes inflow from the upstream section and outflow
533 at the downstream one. Other terms, such as evaporation, seepage or lateral exchange with riparian zone
534 are neglected. Outflow volume from a reach is estimated from an analytically integrated ODE, which is
535 obtained by combining the linear outflow and balance equations. Outflow rate is the ratio of the volume
536 to Δt . This routine can be enhanced to include hydraulic structures and retention basins. These
537 enhancements are presented in detail by Stanić et al. (2017).

538

539 2.8. *Input Data for Simulations with 3DNet-Catch*

540 Geo-spatial data are needed for catchment computational structure, while hydrologic simulations require
541 hydro-meteorological data. To create catchment computational structure through the 3DNet platform, a
542 digital terrain model (DTM) and stream network are required. Properties of the CUs necessary for
543 simulations (area, slope and mean elevation) are automatically computed within 3DNet and forwarded
544 to the 3DNet-Catch model. Elevation-discharge and elevation-volume curves should be provided for
545 each reservoir in the model. If 3DNet-Catch is applied independently of the 3DNet platform, the
546 computational structure has to be created externally using other GIS tools and all required CU properties
547 should be supplied to the model. Data on land use, soil types and vegetative cover are not necessary for
548 the model runs but may facilitate estimation of some model parameters.

549 Precipitation, maximum and mean temperatures and *PET* rates at locations of the meteorological stations
550 are compulsory. Optionally, *PET* computation with the Hargreaves method embedded in the model
551 requires minimum temperatures. Precipitation and temperatures can be adjusted to account for changes
552 with elevation. Both gradients are free parameters: α represents precipitation increase (in %/100 m) and
553 T_{lapse} is the temperature lapse rate ($^{\circ}\text{C}/100\text{ m}$). Although precipitation gradient declines with elevation
554 (Bardossy and Das, 2008), it is assumed constant to avoid model overparameterisation. Observed flows
555 are necessary for model calibration. The temporal resolution of these input series should agree with
556 computational time step.

557

558 **3. Model Application**559 *3.1. Catchment and Data*

560 The 3DNet-Catch model is applied to the Mlava catchment upstream of Veliko Selo (Fig. 4), which is
561 46 km upstream of the confluence of the Mlava and Danube Rivers. The catchment covers the area of
562 1,277 km² and ranges in elevation from 100 to 1,037 m a.s.l. (mean elevation 346.9 m a.s.l.). Deciduous
563 forests and arable cultivated land prevail, approximately 2.5 % of the catchment area is urbanised and
564 the share of coniferous vegetation is negligible. Brown forest and acid, brown and podzolic soils are
565 dominant soil types, while alluvial deposit and smonitza are present to a lesser extent (Fig.S2).

566 The Mlava River exhibits a mixed rainfall-snowmelt water regime: high flows occur from March to May
567 due to combined rainfall and snowmelt, and the lowest flows are in September and October. High flows
568 triggered by convective rainfall also occur during summers (June and July). The mean flow at Veliko
569 Selo in the record period (1987-2013) amounts to 7.5 m³/s (185.3 mm/year), with mean precipitation of
570 661.5 mm/year in the catchment over the same period. There are no operating reservoirs in the
571 catchment.

572 Observations at the Veliko Selo stream gauge and at the three meteorological stations are used for
573 hydrologic simulations in this paper (Fig. 4, Table 1). A stage is continuously observed at the Veliko
574 Selo stream gauge, at which an automatic level recorder is installed. Flow rates are gauged by using
575 either an ADCP device (Acoustic Doppler Current Profiler) or propeller-type current meters, depending
576 on the river stage. The flow measurement campaigns are conducted several times a year to update
577 continuously rating curves for Veliko Selo. Standard rain gauges are installed at RC Petrovac and
578 Žagubica and daily precipitation and mean daily temperatures are observed at these stations. The Crni
579 Vrh station is equipped with a storage rain gauge and a tipping bucket rain gauge that provides
580 precipitation data with 10-minute temporal resolution. There are also six other gauges in the catchment
581 at which daily precipitation and temperatures are observed; however, these observations are disregarded
582 in this paper due to numerous gaps.

583

584

[Fig. 4. is placed here.]

585 [Table 1 is placed here.]

586

587 3.2. Model Setup

588 The model structure with one subsurface soil layer and one reservoir for surface runoff routing is
589 employed for hydrologic simulations. Soil-related parameters are set common to both layers, except for
590 the thickness and the saturated hydraulic conductivity. As explained in section 2.5, the surface soil layer
591 is a few centimetres thick, while the subsurface layer thickness is significantly greater. Similarly,
592 saturated hydraulic conductivity decreases with the soil depth (e.g., Beven, 1982). This relationship is
593 imposed by representing subsurface layer conductivity as a common logarithm of the ratio to the surface
594 layer conductivity (table S5). Other parameters, such as porosity and water content at field capacity and
595 at permanent wilting point, are represented in a similar manner (table S5). Since hydraulic conductivity
596 takes rather small values, it is presented by the common logarithm to prevent under-sampling (Marino
597 et al., 2008). Prior parameter ranges are set for soil, land use and vegetation types inferred from local
598 maps, and according to the related recommendations in the literature. For example, ranges of vegetation-
599 related parameters are adopted from Breuer et al. (2003), and snow-related parameters are accepted from
600 Anderson (2006) and Zhang et al. (2009). The *CN* prior range is inferred from land use and soil types,
601 according to recommendations by Djorković (1984). Prior ranges of the soil-related parameters are set
602 following Schaap et al. (2001), Ogée and Brunet (2002), Diallo and Mariko (2013) and Mathias et al.
603 (2015). This model version comprises 25 free parameters in total, all of which are assigned a uniform
604 prior distribution (table S5). Leaf area index *LAI* and the melt factor b_{melt} series are represented by sine
605 curves (table S6).

606 The Mlava catchment is delineated into ten 100 m-wide elevation zones that are considered CUs
607 (following Seibert and Vis, 2012). The average zone area amounts to 127.8 km². Model parameters are
608 common to all zones, but the meteorological forcing is adjusted for each zone to account for change
609 with elevation (semi-lumped model setup). Mean catchment values, estimated by applying the nearest
610 neighbour method, are corrected following the approach presented by Panagoulia (1995):

611

$$612 \quad \bar{P}_j = P_{MS} \left(1 + \frac{\alpha \cdot (z_j - z_{MS})}{100 \cdot 100} \right) \quad (32)$$

$$613 \quad \bar{T}_j = T_{MS} + \frac{z_{MS} - z_j}{100} \cdot T_{lapse} \quad (33)$$

614 where z_{MS} denote reference altitude of the meteorological stations, z_j is mean elevation of the j^{th} zone,
 615 P_{MS} and T_{MS} are mean catchment precipitation and temperature, and \bar{P}_j and \bar{T}_j are mean precipitation
 616 and temperature in the zone, respectively. The precipitation gradient and lapse rate ranges are assessed
 617 from the long-term observations at the three stations (Table 1). The *PET* rates are calculated for each
 618 zone from the adjusted temperatures using the Hargreaves method (Hargreaves and Samani, 1982), with
 619 the exponent value estimated for the Western Balkans (Trajkovic, 2007):

$$620 \quad PET = 0.408 \cdot 0.0023 \cdot (T + 17.8) \cdot (T_{\max} - T_{\min})^{0.424} \cdot R_a \quad (34)$$

621 where T , T_{\max} and T_{\min} denote the mean, the maximum and the minimum daily temperature, respectively,
 622 and R_a is the extra-terrestrial radiation (in $\text{MJ m}^{-2} \text{day}^{-1}$). The Hargreaves method is selected because of
 623 low data requirements and reliable results in hydrological modelling (Oudin et al., 2005). The
 624 simulations are carried out with a daily time step, so daily data are used.

625

626 3.3. Hydrologic Evaluation of the 3DNet-Catch Model

627 A comprehensive evaluation framework is established to assess whether the 3DNet-Catch model is:
 628 (1) adequately parameterised and (2) able to reproduce catchment response. The evaluation of the basic
 629 model setup, which is presented in section 3.2, is carried out, while flexibility of the model spatial
 630 resolution, catchment computational structure and the soil routine is not considered in this paper.

631 The evaluation framework includes:

632 A. Parameterisation analysis:

633 (1) Sensitivity analysis,

634 (2) Parameter identifiability analysis,

635 (3) Correlations among the parameters.

636 B. Performance analysis:

- 637 (1) Performance metrics over the calibration (1993-2003) and evaluation (2003-2013) periods,
638 (2) Flow-related hydrological signatures.

639 C. Analysis of simulated hydrological components and catchment water balance.

640 The model can generally be calibrated by applying an optimisation method, i.e., by coupling the 3DNet-
641 Catch to an optimisation algorithm; however, this approach is not used here. For purpose of the model
642 evaluation in this paper, 100,000 parameter sets are sampled from their uniform prior distributions by
643 applying the Latin hypercube sampling. One hundred best performing sets in terms of the Kling-Gupta
644 efficiency *KGE* (Gupta et al., 2009) in the calibration period are selected from 100,000 sampled ones.
645 The model evaluation is based on the one hundred selected sets. All simulations are run over water years,
646 with one preceding water year for model warm-up.

647

648 3.3.1. *Model Parameterisation Analysis*

649 The sensitivity analysis (SA) is conducted to detect the most influential parameters and potentially
650 insensitive/redundant ones. The regression based SA is employed in this paper. This method relies on
651 the multiple regression (metamodel) between the parameters and a considered model output, such as
652 flow or a performance measure (Christiaens and Feyen, 2002). Parameter sensitivity is represented by
653 standardised regression coefficients (SRCs), which are obtained by multiplying the regression
654 coefficients to the ratio between standard deviations of the sampled parameters and the considered
655 variable. High SRCs' absolute values indicate influential parameters. Metamodel validity is quantified
656 in terms of the coefficient of determination (R^2) and variance inflation (VIF_{MAX}). The former represents
657 goodness-of-fit, whereas the latter indicates multicollinearity among the predictors. A metamodel should
658 be discarded in case of R^2 below 0.7 (Pan et al., 2011) and VIF_{MAX} above 10 (Christiaens and Feyen,
659 2002).

660 Parameter sensitivity of the following variables in the calibration period is analysed:

- 661 – Fluxes: flow, direct runoff and baseflow. Flow and baseflow are represented by mean values,
662 while direct runoff is represented by its standard deviation to indicate parameters that affect runoff
663 variability.
- 664 – Storage: SWC, canopy and snowpack storage. These variables are averaged over all elevation

665 zones.

666 – Performance measures. Several performance measures calculated from daily flows are considered
667 to identify influential parameters important for reproduction of runoff volume and dynamics.
668 Sensitivities of KGE calculated for the high- and low-flow segment of the flow duration curves
669 (FDC, Table 2) are computed to detect parameters important for reproduction of extreme flows.
670 Posterior distributions of well-identified parameters significantly differ from the corresponding prior
671 (uniform) ones. The Kolmogorov-Smirnov (KS) test is applied to compare empirical cumulative
672 posterior distribution obtained from 100 selected sets to the uniform prior for each model parameter
673 (following Sarrazin et al., 2016). Parameter identifiability is represented by the p -values of the KS test
674 statistic.

675 Correlations among parameters cause ridges in the response surface that hinder parameter optimisation
676 (Schoups et al., 2010). Therefore, weak correlations suggest proper model parameterisation (Shafii and
677 Smedt, 2009). Parameter correlations in this analysis are quantified in terms of the Spearman rank
678 correlation coefficients.

679

680 3.3.2. Model Performance Analysis

681 The model performance is assessed from flows in the calibration (1993-2003) and evaluation (2003-
682 2013) periods (Table 1). It is represented by KGE together with the ratio between the standard deviations
683 of simulated and observed flows (α) and the correlation coefficient (r). Relative bias and volumetric
684 efficiency VE (Criss and Winston, 2008) expose the model ability to simulate runoff volume. Equations
685 of these performance measures, calculated from daily flows, are given in Table S7. Model ability to
686 reproduce flow seasonality is represented by two metrics: (1) KGE_m calculated from monthly flows, and
687 (2) KGE_{ia} calculated as daily values obtained by averaging flows for each particular day over the entire
688 simulation period (following Schaepli et al., 2014). Ensemble performance is quantified in terms of p -
689 factor and r -factor. The former denotes the percentage of observations within the 95% prediction band
690 bounded by the 2.5th and 97.5th ensemble percentiles (95PPU). The latter is mean 95PPU width divided
691 by the standard deviation of the observed flows (Sun et al., 2016). Small values of r -factor are preferred,
692 while p -factor should tend to 1.

693 Additionally, model efficiency is estimated with respect to flow-related hydrological signatures. The
694 signatures considered in this paper are selected to expose different aspects of model performance and
695 accuracy in simulating various hydrological processes. Specifically, signatures related to FDC indicate
696 model ability to simulate soil water redistribution and baseflow (Yilmaz et al., 2008). Therefore,
697 performance in soil moisture dynamics is represented by KGE calculated from the entire FDC and its
698 high and mid-flow segments (McMillan et al., 2017). KGE calculated from the low-flow FDC segment
699 indicates the level of accuracy in the baseflow simulations. Autocorrelation and coefficient of variation
700 expose efficiency in flow dynamics, while high and low percentiles show model ability to reproduce
701 extreme flows. Selected signatures are briefly outlined in Table 2 and further detail can be found in the
702 literature (Yilmaz et al., 2008; Westerberg and McMillan, 2015; Westerberg et al., 2016).

703

704

[Table 2 is placed here.]

705

706 3.3.3. *Hydrological Components and Water Balance of the Catchment*

707 This part of the evaluation framework implies analysis of individual hydrological components. To this
708 end, observations of various hydrologic variables such as snow cover, soil moisture or groundwater
709 should be considered (e.g. Rakovec et al., 2016). In the Mlava catchment, only data on snow cover are
710 available. However, assessment of snow simulation accuracy is rather challenging in this catchment,
711 since snowpack thickness observations at the Žagubica station (1993-2000) are only available. These
712 observations are compared to the simulated snow water equivalent in the third elevation zone, since
713 Žagubica is located within this zone. Agreement between these series is represented by Spearman rank
714 correlation coefficients. In this analysis, it is assumed that the higher snowpack thickness implies higher
715 total water content. Agreement between simulated and observed snowpack represents an effective model
716 evaluation measure, considering that snowpack observations are not used to constrain model parameters
717 in this case study. Efficiency in flow simulations during the snow season (January through April) is also
718 an indicator of snow simulation accuracy.

719 Additionally, key simulated variables are inspected visually considering the expected patterns. Although
720 such a comparison provides a mere qualitative model evaluation, it is very important as it indicates

721 whether the model provides “right answers for the right reasons” (Kirchner, 2006). The following
722 simulated variables are presented: flow, direct runoff and baseflow at Veliko Selo. Furthermore, SWC,
723 canopy and snowpack storage and actual ET (AET) within the third elevation zone are also shown. This
724 particular zone is selected since its mean elevation corresponds to the mean catchment elevation.

725

726 4. RESULTS AND DISCUSSION

727 4.1. The Evaluation Results: Model Parameterisation

728 Parameter sensitivity (SA), identifiability and correlations among the parameters are analysed to
729 evaluate the effectiveness of 3DNet-Catch parameterisation. The first step of the SA is the metamodellers'
730 validity assessment. Most regression metamodellers yield coefficients of determination (R^2) between 0.72
731 (KGE of the low-flow FDC segment) and 0.99 (canopy storage). However, four metamodellers resulted in
732 somewhat lower R^2 : the Nash-Sutcliffe efficiency NSE (0.60), bias and total flows (0.64), and KGE of
733 the high-flow FDC segment (0.66). Since these R^2 are only slightly below the recommended threshold
734 of 0.7, these metamodellers are accepted as valid and retained in the SA. Bias and NSE yield the highest
735 R^2 out of several considered performance measures, and, therefore, are selected to identify parameters
736 important for reproducing runoff volume and dynamics (Krause et al., 2005). The maximum VIF
737 amounts to 3.1 (NSE), indicating valid metamodellers.

738 The absolute SRC values are presented in Fig. 5. Fig. 5A shows parameter sensitivity of flows, direct
739 runoff and baseflow. Flow is largely influenced by the precipitation gradient α , parameters of the soil
740 routine (porosity, the thickness of the subsurface layer, pore size distribution index, saturated
741 conductivities) and LAI_{max} . The precipitation gradient affects total precipitation and consequently runoff
742 volume. High sensitivity to α variations suggests the significance of precipitation data, while sensitivity
743 to the soil-related parameters indicates the importance of soil moisture dynamics for flow simulations.
744 Direct runoff is mainly influenced by the soil conductivities and the reservoir coefficient, K_d (in control
745 of surface to direct runoff transformation), while sensitivity to α is lower than in flows. Mean baseflow
746 is sensitive to the hydraulic conductivities, as well as percolation to the NLGW reservoir (not shown
747 here). Low sensitivity to the baseflow-related parameters suggests that baseflow rates are governed by
748 percolation from the unsaturated soil rather than its routing. To analyse the impact of maximum baseflow

749 yield q_d and coefficient c on baseflow dynamics, a temporal SA is conducted with a daily time step
750 (following Sieber and Uhlenbrook, 2005). The SRC values of these parameters are generally low (Fig.
751 S4), but clearly correlated to baseflow rates. For example, the sensitivity to parameter c variations
752 increases during prolonged dry periods, i.e., it becomes “more active” during these periods thereby
753 implying plausible parameterisation (Pfannerstill et al., 2015). Additionally, parameter q_d is engaged in
754 the analytical integration of the nonlinear outflow equation, and facilitates model calibration. Fast
755 groundwater discharge is not considered here since the corresponding metamodel yields low R^2 .

756 Fig. 5B presents parameter sensitivity of three types of storage. Soil water content is influenced by the
757 porosity and subsurface layer thickness (their product comprises almost total soil capacity). Canopy
758 storage is primarily affected by CAN_{max} . Snowpack storage is sensitive to T_{R-S} and T_{melt} , with lower
759 sensitivity to other snow-related parameters. Temporal SA to these snow-related parameters reveals an
760 increased sensitivity to $S_{snow,100}$ and λ during snow ablation periods (Fig. S5). The sensitivity of the
761 snowpack storage to the melt factors is low, especially to $b_{melt,12}$, suggesting that snowmelt simulated
762 with a daily time step is influenced mainly by the air temperature and the available snow storage in the
763 Mlava catchment. Seasonality in b_{melt} is not pronounced, possibly due to a relatively short snow season
764 in this catchment; hence, the $b_{melt}(t)$ could be represented by a constant value.

765 The accuracy of flow volume simulation results is mainly affected by α , some soil-related parameters
766 and LAI_{max} . The model ability to reproduce runoff dynamics is influenced by the hydraulic conductivities
767 and the reservoir coefficient K_d , all of which affect direct runoff. The precipitation gradient, K_d and the
768 most soil-related parameters are important for high-flow simulations. Model performance in low-flows
769 is sensitive to the threshold S_{max} , and to a lesser extent to a subset of soil-related parameters.

770

771 [Fig. 5. is placed here.]

772 The results indicate insensitivity to CN and initial abstraction I_{a_rel} , so a temporal SA with respect to
773 these parameters is conducted (Fig. 6). Increased SRCs are identified during rain events, which is
774 consistent with their role in surface runoff simulations since these parameters define partitioning
775 between infiltration and excess precipitation. These results suggest the importance of those parameters
776 for surface runoff simulations, and consequently flows and model efficiency.

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[Fig. 6. is placed here.]

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Fig. 7 shows one hundred best parameter realisations relative to their prior ranges. Empirical posterior distributions of these sets are compared to the corresponding prior uniform distributions using the KS test. Parameters that result in the KS test null hypothesis rejection at the 5% significance level are considered to be well-identified (i.e., statistically significant, denoted by green circles in Fig. 7A). The parameters yielding the hypothesis rejection at 25% significance level (i.e., potentially significant, denoted by yellow triangles in Fig. 7A) are also considered well-identified (Plavsic et al., 2016). Most parameters for this application of the 3DNet-Catch model are well-identified. Few parameters, such as CAN_{max} , the melt factors, few soil- and baseflow-related parameters are not properly identified (p -values exceed 25%, red diamonds in Fig. 7A). Since low identifiability might be attributed to the performance measure used for parameter selection, the KS test is repeated with 100 best performing sets according to KGE calculated from log-transformed flows (KGE_{logQ}). These results (Fig. 7B) show that $I_{a,rel}$, θ_{FC} , n and baseflow-related parameters are well-identified. Regardless of the performance measure, few parameters exhibit low identifiability: CAN_{max} , T_{melt} , the melt factors and θ_{PWP} . Low identifiability of these parameters could be explained by the fact that they presented as functions of other parameters (Table S5), which should be avoided if possible. Parameter identifiability could also be discussed considering the width of the prior ranges. In this study, the prior ranges are set quite narrow (including CAN_{max} , T_{melt} and θ_{PWP}), and wider prior ranges could result in lower p -values of the KS test. This assumption, however, should be tested in further research.

The matrix of Spearman rank correlation among the selected parameters is shown in Fig. 8. The median value of the correlation coefficients amounts to -0.01, with 2.5th and 97.5th percentiles of -0.25 and 0.23, respectively. As none of the coefficients exceeds 0.6 (the largest coefficient is 0.58), the parameters are considered uncorrelated (Blasone et al., 2007).

Altogether, results of the sensitivity, identifiability and correlation analyses in this case study suggest that 3DNet-Catch is adequately parameterised notwithstanding the large number of parameters. Most parameters affect the simulated variables and/or model performance, which is evident either over the entire simulation period or sporadically. They are also well identifiable and uncorrelated. The snow

805 routine might be simplified by neglecting the melt factor seasonality (for this catchment).

806

807 [Fig. 7. is placed here.]

808 [Fig. 8. is placed here.]

809

810 4.2. The Evaluation Results: Model Performance

811 Performance of 100 selected parameter sets in the calibration and evaluation periods is shown in Fig. 9.

812 The ensemble performance is represented by the Kling-Gupta efficiency coefficients calculated from

813 daily (KGE) and monthly flows (KGE_m), and from daily flows averaged for a particular day over the

814 entire simulation period (KGE_{ia}). Additionally, the ratio between standard deviations of the observed

815 and simulated flows (α) and their correlation coefficient (r), volumetric efficiency (VE) and bias are

816 shown. To provide a frame of reference, these performance measures are compared to those obtained of

817 the HBV-light model (Seibert and Vis, 2012) ensemble, presented by Todorović and Plavšić (2015).

818 Median KGE in both periods amounts to 0.67, indicating satisfactory performance of 3DNet-Catch

819 (Pechlivanidis et al., 2014). The HBV-light ensemble resulted in the median KGE of 0.55 and 0.68 in

820 the calibration and evaluation periods, respectively. Since the models are forced with observations from

821 only three meteorological stations in the catchment, improved input data quality (i.e., wide observation

822 network coverage) may well yield higher efficiency. Sensitivity of efficiency to input data quality,

823 however, requires model application to catchments with an extensive observation network coverage.

824 Furthermore, some ensemble members perform better in the evaluation period, which corroborates the

825 results obtained by Todorović and Plavšić (2015). Such results could be attributed to generally higher

826 flows in the evaluation period (Table 1), since increased accuracy in higher flow rates can be expected

827 with the performance measure used for parameter selection (Pechlivanidis et al., 2014). However, the

828 3DNet-Catch ensemble of one hundred best performing sets selected according to $KGE_{\log Q}$ behaves in a

829 similar manner, i.e., it yields the median KGE values of 0.50 and 0.65 over the calibration and evaluation

830 periods, respectively (not shown here). These results might indicate higher data quality in the evaluation

831 period. The correlation coefficient values are satisfactory (Moriasi et al., 2007), and exceed those

832 obtained by the HBV-light model (0.65 and 0.75 in the calibration and evaluation, respectively).

833 However, the standard deviation is slightly overestimated in the evaluation period by the 3DNet-Catch
834 model, as opposed to the HBV-light ensemble. The values of KGE_m and KGE_{ia} , and monthly flows at
835 Veliko Selo, which are generally contained within the 95PPU during both periods (Fig. 10), suggest that
836 the model accurately reproduces flow seasonality. The monthly flows are overestimated during the late
837 summers and autumns by the 95PPU, and slightly underestimated in early spring (combination of
838 rainfall and snowmelt). Similar results were obtained with the HBV-light model, which overestimated
839 flows from April through August. The bias values obtained with 3DNet-Catch are rather low: the median
840 value amounts to -1.8% for the calibration, and 6.5% for the evaluation period, demonstrating model
841 ability to reproduce runoff volume. The HBV-light model resulted in the bias values of 12.8% and 2.7%,
842 and the median VE values of 0.87 and 0.94 over the calibration and evaluation, respectively. Ensemble
843 performance is represented by p -factor, which amounts to 0.76 and 0.77 in the calibration and evaluation
844 periods, respectively, and by r -factor of 0.77 in both periods. These results denote relatively narrow
845 95PPU that encompasses a large percentage of the observed flows. The 3DNet-Catch ensemble is
846 slightly wider than the HBV-light ensemble, but encompasses higher per cent of the observed flows:
847 HBV-light resulted in the p -factor values of 0.6 and 0.65, and r -factor of 0.69 and 0.75 during the
848 calibration and evaluation periods, respectively.

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850 [Fig. 9. is placed here.]

851 [Fig. 10. is placed here.]

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853 The evaluation of the model performance also involves hydrologic signatures (Table 3). Comparison of
854 mean flows confirms model ability to reproduce runoff volume. The coefficients of variation indicate
855 overestimated flow variance in the evaluation period. One-day autocorrelation is marginally
856 overestimated: for example, simulated low flows are aligned along recession curves, as opposed to the
857 noisy observations. High values of KGE_{FDC} suggest that the entire FDC is well reproduced by the
858 ensemble (supported by high p -factors in both periods, Fig. 11). KGE s of the FDC segments further
859 reflect performance in high-, mid- and low-flows. Performance in the high-flow FDC segment (0-0.05
860 exceedance probability) is good, especially during the evaluation period. FDCs in Fig. 11 show that the

861 observations are contained within the ensemble range during the evaluation period, but underestimated
862 during the calibration. The 3DNet-Catch model properly reproduces the mid-flow FDC segment;
863 however, there is a considerable dispersion across the ensemble (indicated by low 2.5th percentile of
864 KGE_{MF}). Performance of the 3DNet-Catch model in low flows is somewhat lower than in other FDC
865 segments, although some ensemble members reproduce this FDC segment well (indicated by high 97.5th
866 percentile of KGE_{LF}). Extreme flow percentiles in Table 3 are generally within 95PPU bounds: high
867 percentiles of the observed and simulated flows are comparable, although extreme low flows are
868 underestimated by most ensemble members.

869

870 [Table 3 is placed here.]

871 [Fig. 11. is placed here.]

872

873 *4.3. The Evaluation Results: Hydrological Components and Water Balance of the Catchment*

874 Simulated hydrological components are analysed to assess whether their dynamics corresponds to
875 expected patterns. Fig. 12 presents simulated variables by the best performing parameter set in the
876 evaluation period with KGE and bias of 0.77 and 7.5%, respectively. A good agreement between
877 simulated and observed flows is apparent: simulated hydrograph corresponds to the observed one in
878 terms of rising and recession limbs, and in peak timings. The log-transformed hydrographs further
879 illustrate the agreement in hydrograph recession limbs and low flows in general. Surface and direct
880 runoff values are generated occasionally, after rain events or snowmelt, while the increase in baseflow
881 is delayed. Direct runoff is considerably larger than baseflow, resulting in overall flow variability.
882 Baseflow rates in Fig. 12 do not exceed 3 m³/s, and are consistent with the long-term average flows at
883 Veliko Selo during dry periods (Prohaska et al., 2009). Fast groundwater response is not generated due
884 to high s_{max} value of this particular set. Runoff coefficient estimated from the simulated flows amount
885 to 0.34, which is equal to the long-term estimate for this catchment made by Prohaska et al. (2009).
886 Percolation rates correlate well with SWC, with the highest values during winters and early springs.
887 Percolation rates are almost two order of magnitude smaller than surface runoff rates, which corresponds
888 to the ratio between direct runoff and baseflow. Canopy storage is up to 2.5 mm (CAN_{max} is 5.7 mm),

889 which is a reasonable estimate for deciduous vegetation in a temperate climate (Breuer et al., 2003). The
890 Spearman rank correlation coefficient, which is calculated between observed snowpack thickness at
891 Žagubica and simulated snow storage, is 0.71 (1993-2000). The *AET* values (e.g., 502 mm/year in the
892 evaluation period) are consistent with the long-term water balance that suggests 473 mm/year
893 (Prohaska et al., 2009). Since the simulated hydrological components strongly concur with the expected
894 patterns in the Mlava catchment, indicating realistic representation of processes in the 3DNet-Catch
895 model.

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897 [Fig. 12. is placed here.]

898
899 *4.4. Summary of the Results of the 3DNet-Catch Model Evaluation*

900 The model evaluation suggests that the 3DNet-Catch model accurately reproduces runoff volume and
901 FDCs although it is forced with observations from a sparse observation network. Bias in runoff volume
902 in both simulation periods is below the margin of error considering rating curve uncertainties (Di
903 Baldassarre and Montanari, 2009). Values of *KGE* and 1-day AC signature indicate that runoff dynamics
904 is satisfactorily reproduced. Most importantly, model efficiency in both periods is broadly similar,
905 demonstrating its transferability.

906 High performance is obtained in the mid- and high-flow FDC segments, which can be attributed to
907 accuracy in soil moisture simulations (Yilmaz et al., 2008). High efficiency together with the high
908 sensitivity to the soil-related parameters indicates plausible parameterisation of the soil routine.

909 Additionally, simulated SWC values correspond to the expected pattern in a catchment located in a
910 temperate climate (high content in early springs and low in late summers). Adaptability to local
911 conditions and physically meaningful parameters represent additional advantages of this routine.

912 Good model performance in high flows and runoff dynamics also depends on runoff routing accuracy.
913 Satisfactory performance, high SRC and identifiability of the linear reservoir coefficient K_d indicate a
914 proper parameterisation of this routing component. These results also suggest that the spatial lumping of
915 runoff routing yields reliable results with a daily computational step.

916 Variability of low-flow performance across the ensemble suggests that parameters are not sufficiently

917 conditioned with respect to this flow component in these analyses, rather than model structure
918 inadequacies. The use of performance measures that put emphasis on low flows (e.g., logarithmic or
919 square root transformations, Oudin et al., 2006) could potentially improve efficiency in this regard. To
920 test this assumption, additional simulations are carried out with 100 best parameter sets selected
921 according to $KGE_{\log Q}$. These sets resulted in higher KGE_{LF} values than those in Table 3: namely, the
922 2.5th, 50th and 97.5th percentiles amount to 0.11, 0.46 and 0.81, respectively. Furthermore, observed
923 monthly flows in June through December are within the 95PPU, except for November flows, which is
924 still slightly overestimated (not shown here). Therefore, model performance in low-flows requires
925 further research aimed at identifying a proper calibration strategy. Underestimation of extremely low
926 flows is related to the accuracy of low-flow observations: namely, observed flows over prolonged dry
927 periods take constant values, while simulated recessions lead to flow decrease in time.

928 High flows in this catchment are often triggered by snowmelt, thus model performance in high-flows is
929 also conditioned on the accuracy of snow simulations. The model satisfactorily reproduces high flows,
930 although many ensemble members underestimated early spring flows (caused by combined rain events
931 and snowmelt). These results, along with low sensitivity and identifiability of some snow-related
932 parameters reveal a scope for improvement of this routine. Enclosure of the snowpack observations in
933 the calibration procedure should be considered as well.

934 A visual inspection of hydrographs reveals that some rainfall events during summers and autumns are
935 not accompanied by an increase in the observed hydrographs, as opposed to the simulated flows. These
936 discrepancies can be attributed to the spatial rainfall representation in this modelling setup and sparse
937 raingauge network that cannot capture the spatial heterogeneity of summer convective rainfall events.

938 A fully distributed setup and finer spatial resolution of rainfall observations could potentially improve
939 simulation accuracy during these events, as well as the overall model performance.

940 Although the evaluation results suggest proper parameterisation of 3DNet-Catch, it should be noted that
941 the evaluation presented in this paper is based on a single catchment. The model application in other
942 catchments with different hydrologic regime, as well as model comparison to the other models, requires
943 further research.

944

945 **5. CONCLUSIONS AND FUTURE RESEARCH**

946 The 3DNet-Catch hydrologic model and a comprehensive evaluation of the basic model setup are
947 presented in this paper. The model is conceived as a trade-off between oversimplified, parsimonious
948 models and demanding, complex ones, enabling plausible simulation results with modest data and
949 computational requirements. The central point of the model is its soil routine, which combines the SCS-
950 CN method for estimation of maximum soil retention, the nonlinear outflow equation and the Brooks-
951 Corey relation for unsaturated hydraulic conductivity. This routine can be adapted according to soil data,
952 which is a distinct feature of 3DNet-Catch. The soil routine and runoff routing include analytically
953 integrated nonlinear outflow equations, thereby preventing issues caused by the application of numerical
954 methods.

955 To assess parametrisation and performance of 3DNet-Catch, a comprehensive evaluation framework is
956 established and used with a semi-lumped model setup of the Mlava catchment. The evaluation results
957 suggest the following:

- 958 – The basic structure of 3DNet-Catch (i.e., semi-lumped model setup, structure with one surface and
959 one subsurface soil layer, and surface routing through a single linear reservoir) provides
960 satisfactory, reasonable simulation results even with forcing from a sparse observation network.
- 961 – The soil routine parametrisation results in a good representation of soil water dynamics, and
962 consequently in good model performance for mid- and high-range flows. The use of physically
963 meaningful parameters represents an appealing feature of this routine.
- 964 – A simple degree-day based method provides realistic simulation results for the snowpack and flows
965 during melt seasons, although there is a scope for improvement. The results obtained in the Mlava
966 catchment suggest that seasonality in the melt factor can be neglected.
- 967 – The linear outflow equation for surface runoff routing enables proper reproduction of high flows in
968 terms of both flow rates and peak timing.
- 969 – A nonlinear groundwater reservoir with a threshold enables a reasonable representation of
970 groundwater response, but the estimation of the baseflow-related parameters requires performance
971 measures that emphasise this flow component.

972 The presented features and evaluation results suggest that the 3DNet-Catch model is suitable for runoff

973 simulations in mesoscale sloped catchments under a temperate climate. Good performance with modest
974 data requirements enables 3DNet-Catch applicability in operational practice. Specifically, it can be used
975 for addressing various issues related to water resources management. For example, values of most model
976 parameters can generally be inferred from soil, land use and vegetation data. Stanić et al. (2017) relied
977 on this model feature to reconstruct an extreme flood in Serbia in May 2014, since a conventional model
978 calibration could have not be performed due to pronounced uncertainties in the observed flows. This
979 model was used afterwards by the water authorities for evaluation of various flood mitigation measures
980 (Babić Mladenović and Divac, 2015). The 3DNet-Catch model includes the SCS-CN method, thus it
981 can be readily applied for e.g. assessment of various scenarios of land use change, particularly if a
982 distributed setup is employed. Being implemented as a Dynamical Link Library (.dll), the model is
983 computationally efficient, and thus particularly convenient for climate change impact studies that are
984 usually computationally intensive. Realistic simulation results across different flow ranges pose an
985 additional model advantage in such applications. In addition, flexibility in model structure or spatial
986 resolution makes it a particularly appealing tool for hydrologic research studies.

987 For future considerations, the snow routine can be enhanced by introducing a smooth transition between
988 snowfall and rainfall, or an increase in the melt factor during rain-on-snow events. The model
989 performance during snow melt season could be improved by including snow cover data into the model
990 calibration. The enclosure of groundwater-surface interactions (capillary rise) in the model might
991 enhance model performance in catchments with high groundwater table. The first-order explicit Euler
992 method implemented in the routines for runoff volume simulations can be replaced by a more robust
993 explicit numerical method (e.g., the Runge-Kutta scheme). Furthermore, storage-dependant flow
994 exchange among CUs rather than routing to a catchment outlet can be implemented. The channel routing
995 component can be improved by either embedding robust routing methods, or by coupling to a hydraulic
996 model, as suggested by Stanić et al. (2017). The 3DNet-Catch model can also be coupled with a
997 groundwater model, such as UGROW (Pokrajac and Stanić, 2010). An integration of 3DNet-Catch with
998 other models and the storage-dependant runoff routing is generally not intended for the engineering
999 practice due to increased computational demands, but this would be a promising avenue of research.

1000

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1010

1011 **Declaration of Interests**

1012 None.

1013

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1260

1261 **List of Figures**

1262 **Fig. 1.** An overview of 3DNet-Catch: model routines, fluxes (in bold) and state variables.

1263 **Fig. 2.** Percolation from the saturated and unsaturated soil in 3DNet-Catch.

1264 **Fig. 3.** Runoff routing in the 3DNet-Catch model.

1265 **Fig. 4.** The Mlava catchment upstream of the Veliko Selo stream gauge.

1266 **Fig. 5.** Absolute values of SRCs calculated from: A) flows, direct runoff and baseflow; B) soil,
1267 snowpack and canopy storages; C) bias and *NSE* and D) *KGE* of the high- and low-flow segments of
1268 the FDC.

1269 **Fig. 6.** Temporal SA of surface runoff to the SCS-related parameters. Observed flows are normalised
1270 with respect to the maximum value in the calibration period.

1271 **Fig. 7.** Box plots of the one hundred best performing parameters sets according to *KGE*. The parameters
1272 are shown relative to the prior ranges. The whiskers denote 5%-95% range. Symbols at the bottom
1273 indicate *p*-values of the KS test: green circles – statistically significant (*p*-value below 5%), yellow
1274 triangles – potentially significant (*p*-value between 5% and 25%), red diamonds – statistically
1275 insignificant (*p*-value above 25%). Results of the KS test are obtained from 100 best performing sets
1276 according to A) *KGE*, and B) $KGE_{\log Q}$.

1277 **Fig. 8.** The matrix of Spearman rank correlation among the selected parameter sets.

1278 **Fig. 9.** Performance measures in the calibration and evaluation periods. Following measures are
1279 presented: Kling-Gupta efficiency coefficient calculated from daily (*KGE*) and monthly flows (KGE_m)
1280 and from daily flows averaged for a particular day over the entire simulation period (KGE_{ia}); alpha –
1281 ratio between standard deviations of the observed and simulated flows, *r* – correlation coefficient
1282 between the observed and simulated flows, and *VE* – volumetric efficiency.

1283 **Fig. 10.** Monthly flows over the (A) calibration, and (B) evaluation periods.

1284 **Fig. 11.** Flow duration curves in the calibration (top) and evaluation periods (bottom). Semi-log scales
1285 facilitate illustration of the model performance in high flows (left) and low flows (right panels).

1286 **Fig. 12.** Meteorological input and simulated variables with the best performing set in the evaluation
1287 period. Fluxes of the third elevation zone are shown.

1288 **Table 1** Stations in the Mlava catchment and data in two simulation periods.

1289 (Q – mean annual flow, P – annual precipitation and T – mean annual temperature)

Station	Variable	Elevation (m a.s.l.)	Latitude / Longitude	Available record	Calibration (1993-2003)			Evaluation (2003-2013)		
					min	mean	max	min	mean	max
Veliko Selo	Q [m ³ /s]	92.55*	44 ° 30 ' 21 ° 18 '	1987-2013	3.4	7.2	11.3	5	9.3	16.7
RC Petrovac	P [mm]	282	44 ° 20 ' 21 ° 20 '	1972-2013	530	707.4	928.5	526.4	693.9	984.7
	T [°C]		10.2		11.8	13.1	11.2	12.1	13.8	
Žagubica	P [mm]	314	44 ° 12 ' 21 ° 47 '	1972-2013	423.8	576	696.9	466.4	683.8	924.8
	T [°C]		8.3		10.1	14.9	10	10.9	12	
Crni Vrh	P [mm]	1037	44 ° 08 ' 21 ° 58 '	1966-2013	635.9	750.1	816.1	661.6	877.2	1134
	T [°C]		5		6.9	8	6.4	7.2	9	

1290 * Zero datum of the staff gauge.

1291 **Table 2** Flow-related hydrological signatures considered for the model evaluation.

Label	Signature	Description
Q_{MEAN}	Mean flow (m^3/s)	Mean flow in the simulation period (m^3/s); indicates model ability to reproduce long-term water balance.
C_v	Flow variability	The coefficient of variation of daily flows; reflects agreement in flow dynamics.
AC	Autocorrelation coefficient	1-day autocorrelation coefficient of daily flows. Related to flow dynamics/flashiness: large catchments show high autocorrelation, while autocorrelation is small in flashy catchments.
KGE_{FDC}	KGE of the entire FDC	KGE calculated from the entire FDC.
KGE_{HF}	KGE of the high-flow FDC segment	KGE calculated from the high flows (exceedance probabilities from 0 to 0.05). Related to soil moisture redistribution.
KGE_{MF}	KGE of the mid-flow FDC segment	KGE calculated from the log-transformed flows (exceedance probabilities between 0.2 and 0.7). Related to soil moisture redistribution.
KGE_{LF}	KGE of the low-flow FDC segment	KGE calculated from the log-transformed flows (exceedance probabilities between 0.7 and 1). Indicates model ability to reproduce baseflow.
$Q_{1\%}, Q_{5\%}, Q_{95\%}, Q_{99\%}$	Flow percentiles (m^3/s)	Characteristic percentiles of daily flows representing extremely high ($Q_{1\%}, Q_{5\%}$) and low flows ($Q_{95\%}, Q_{99\%}$). Indicate model ability to reproduce extreme flows.

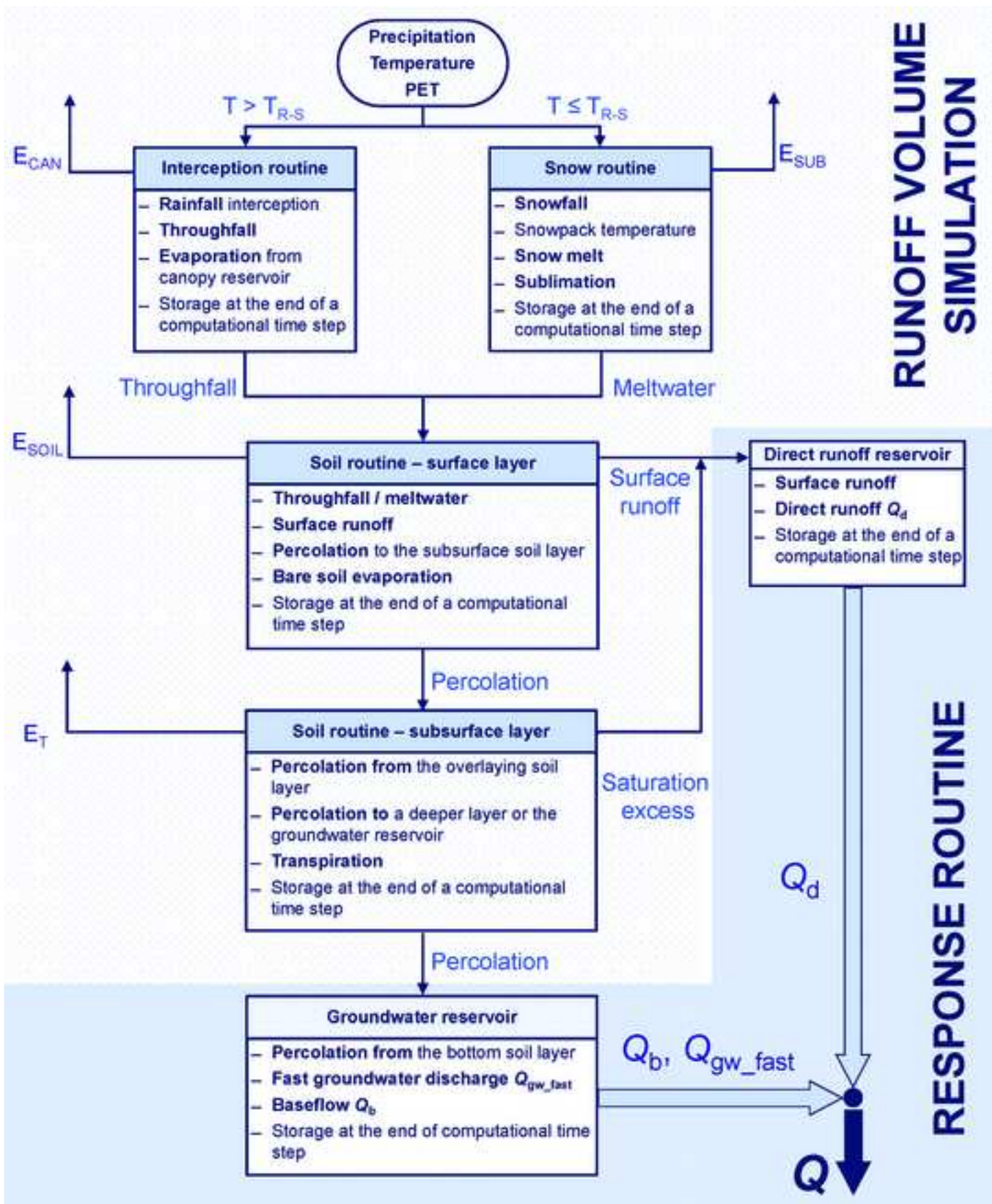
1292

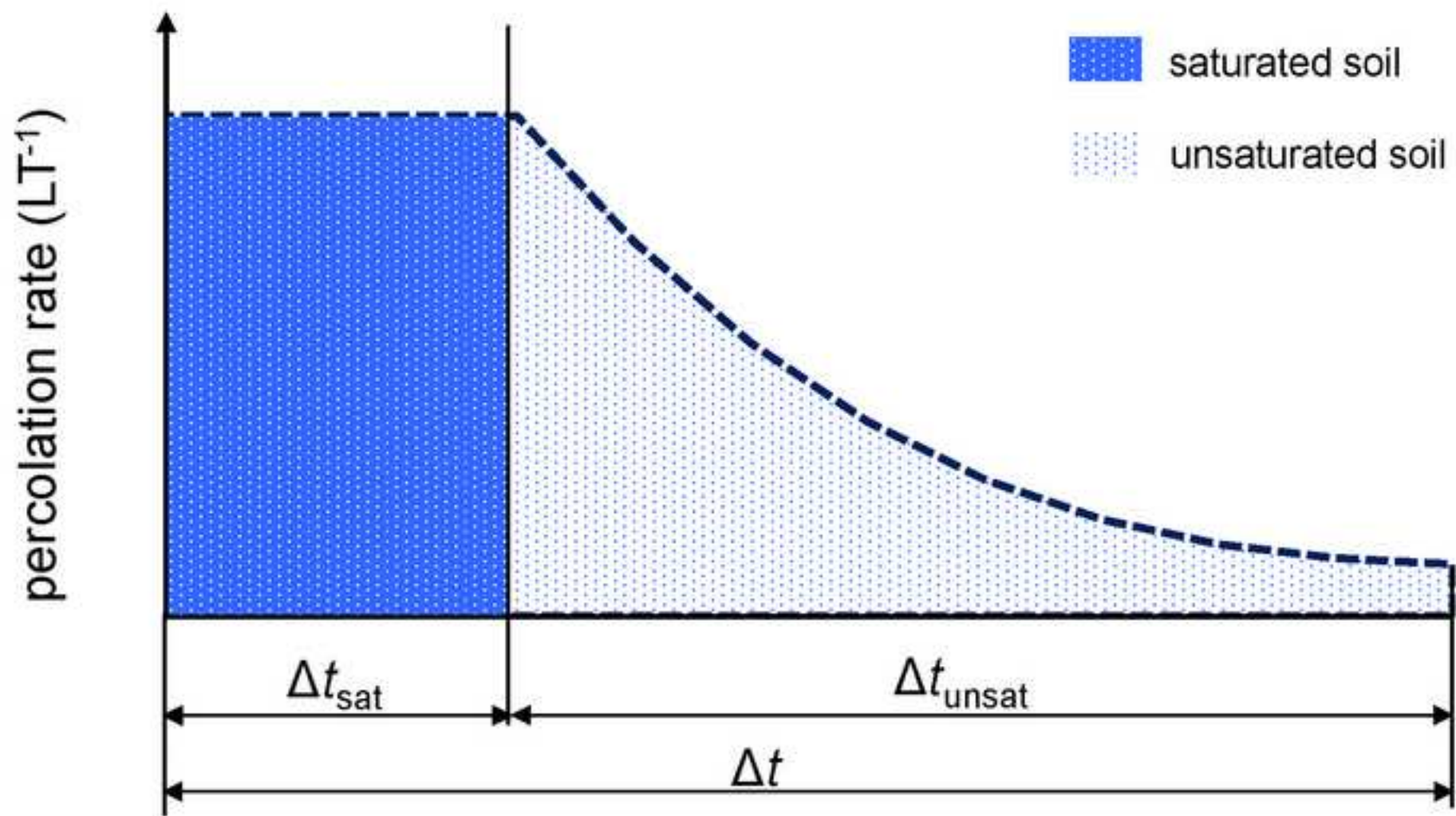
1293 **Table 3** Hydrological signatures of the observed and simulated flows. The signatures calculated from
 1294 the ensemble are represented by the 2.5th, 50th and 97.5th percentiles.

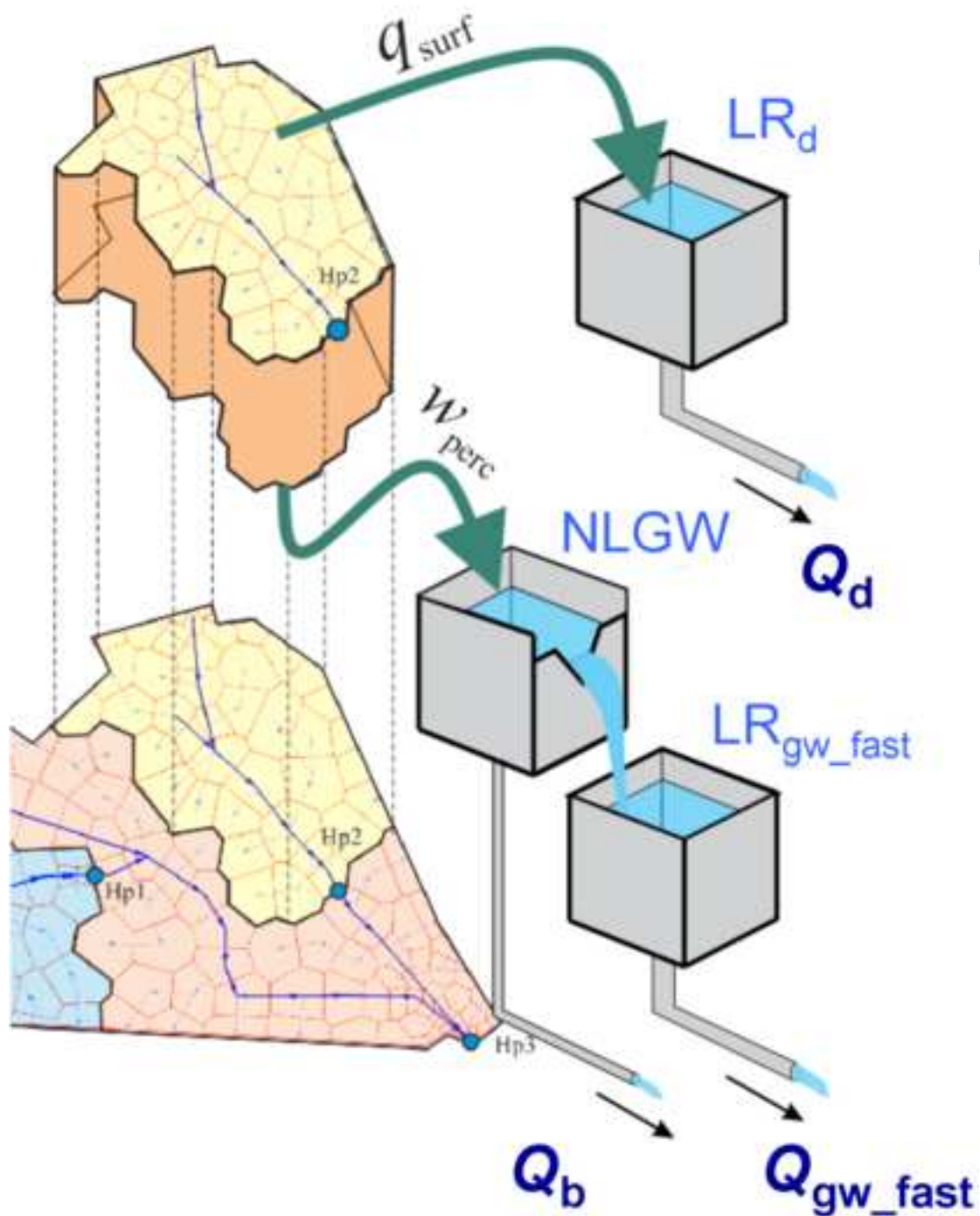
Hydrologic signature	Calibration (1993-2003)				Evaluation (2003-2013)			
	Observed	Simulated			Observed	Simulated		
		2.5	50	97.5		2.5	50	97.5
Q_{MEAN}	7.22	6.25	7.08	8.06	9.03	8.10	9.62	10.73
C_V	1.46	1.18	1.41	1.62	1.44	1.26	1.52	1.70
AC	0.863	0.852	0.920	0.955	0.92	0.881	0.940	0.967
KGE_{FDC}	/	0.82	0.90	0.97	/	0.72	0.84	0.97
KGE_{HF}	/	0.43	0.59	0.85	/	0.57	0.81	0.94
KGE_{MF}	/	0.40	0.72	0.93	/	0.19	0.69	0.96
KGE_{LF}	/	-0.01	0.41	0.81	/	-0.01	0.48	0.80
$Q_{1\%}$	1.1	0.01	0.33	1.07	1.07	0.04	0.33	1.11
$Q_{5\%}$	1.2	0.08	0.52	1.45	1.3	0.11	0.64	1.60
$Q_{95\%}$	22.29	22.91	27.35	31.59	33.1	31.42	37.60	43.63
$Q_{99\%}$	55.1	42.43	49.82	56.90	67.56	57.39	72.00	81.62

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LR_d – linear reservoir for surface runoff routing

$NLGW$ – nonlinear groundwater reservoir

LR_{gw_fast} – linear reservoir for fast groundwater discharge routing

Q_d – direct runoff

Q_{gw_fast} – fast groundwater discharge

Q_b – baseflow

q_{surf} – surface runoff

w_{perc} – percolation from the deepest soil layer

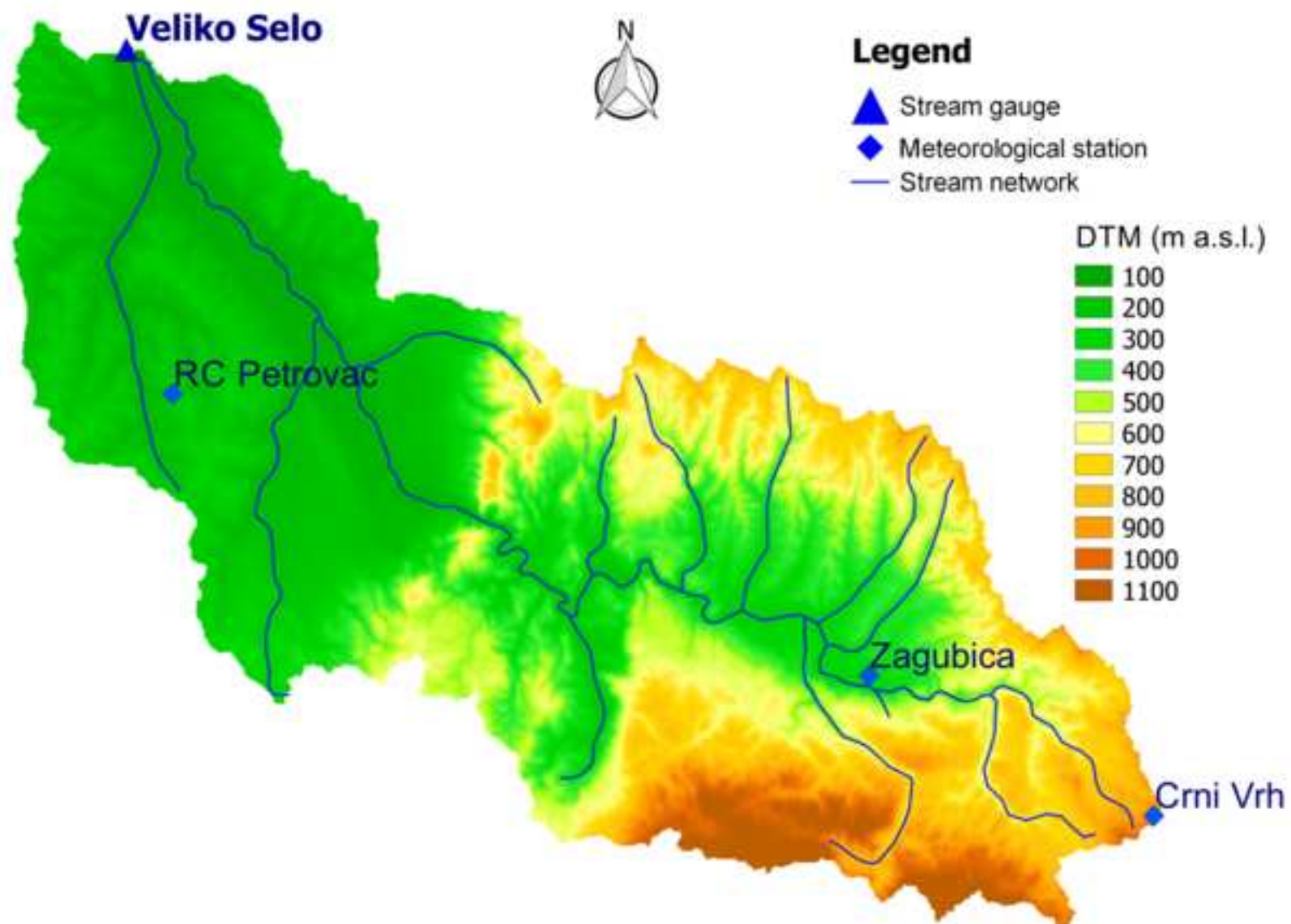


Figure5

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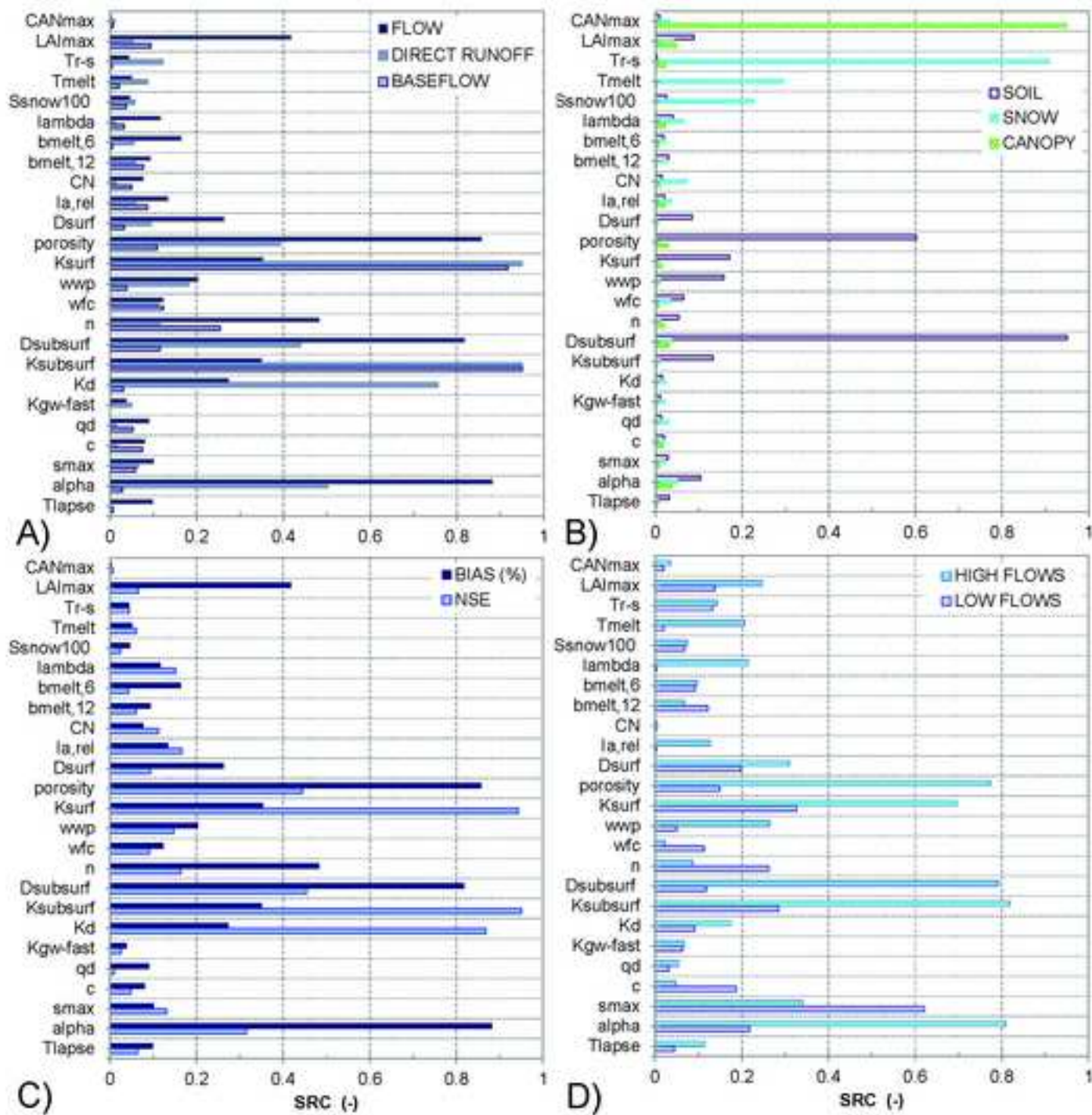
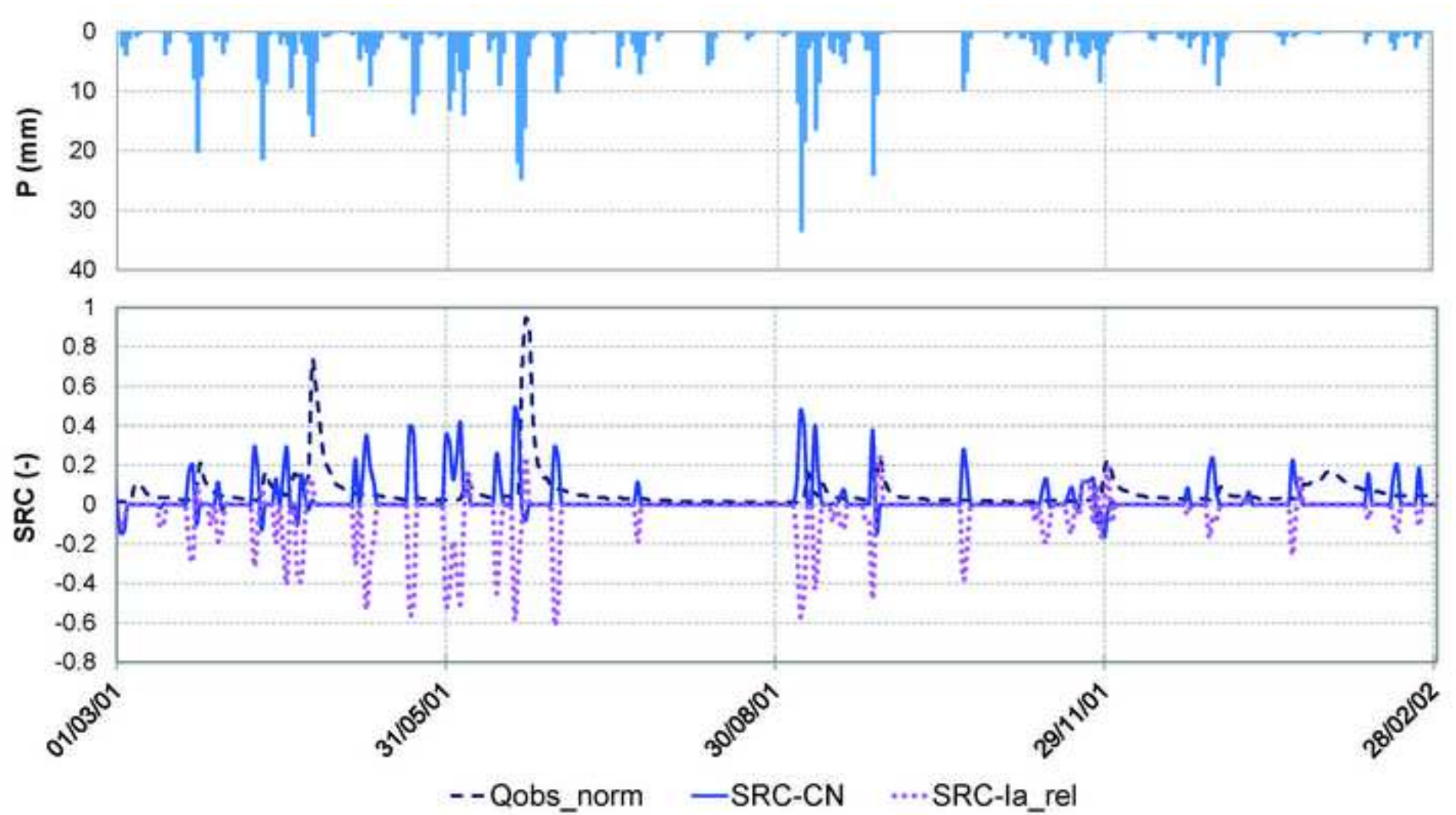


Figure6

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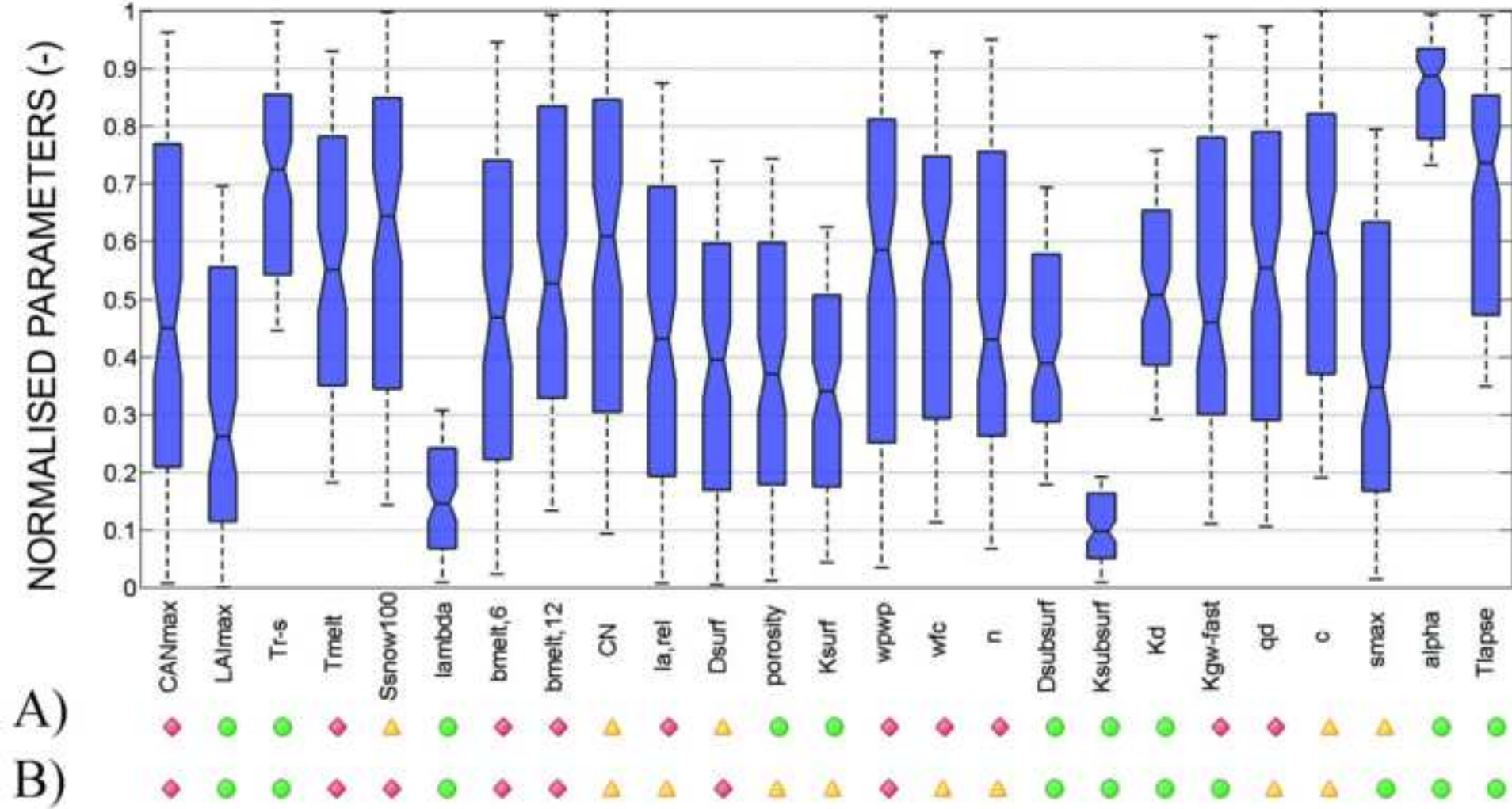
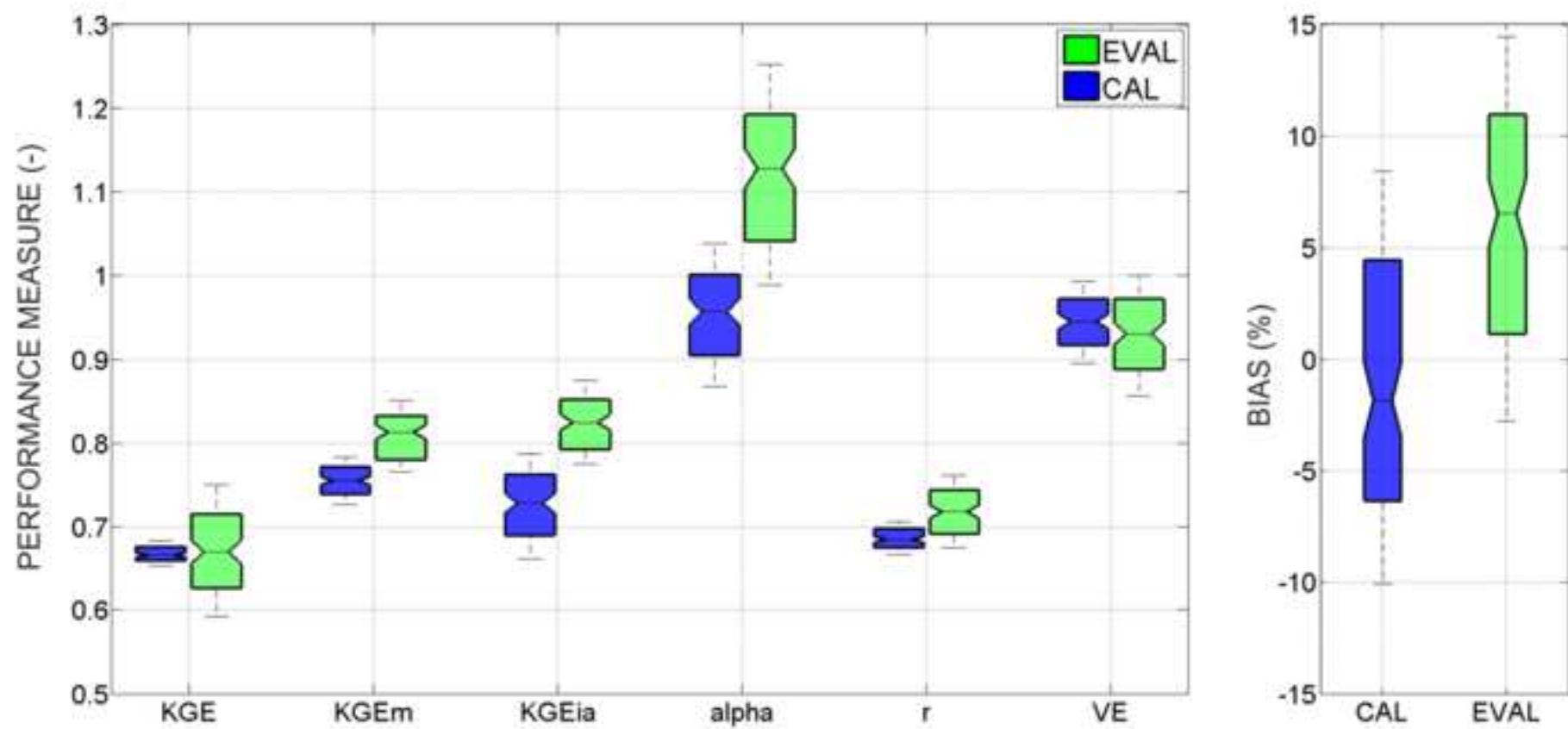


Figure9

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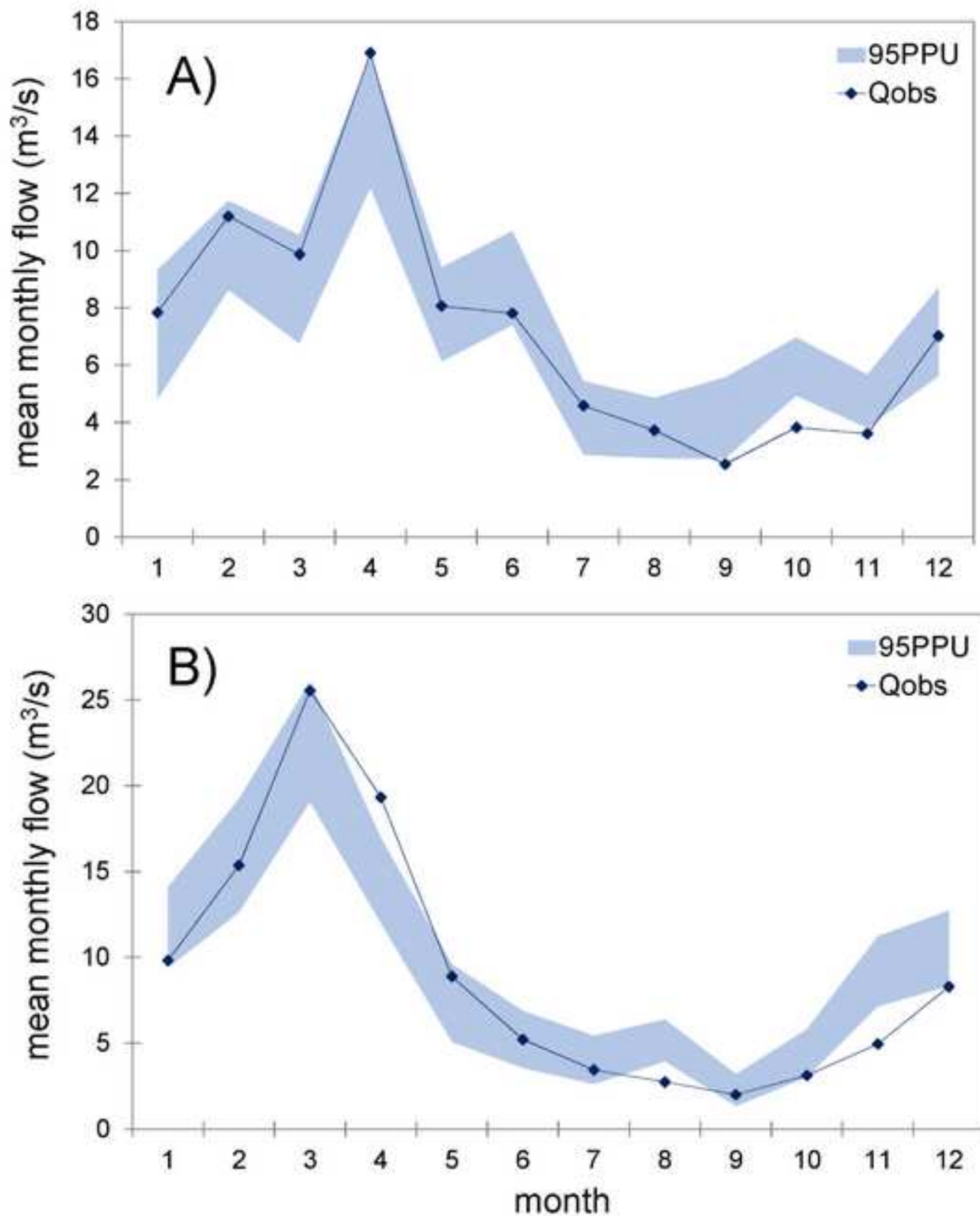


Figure11

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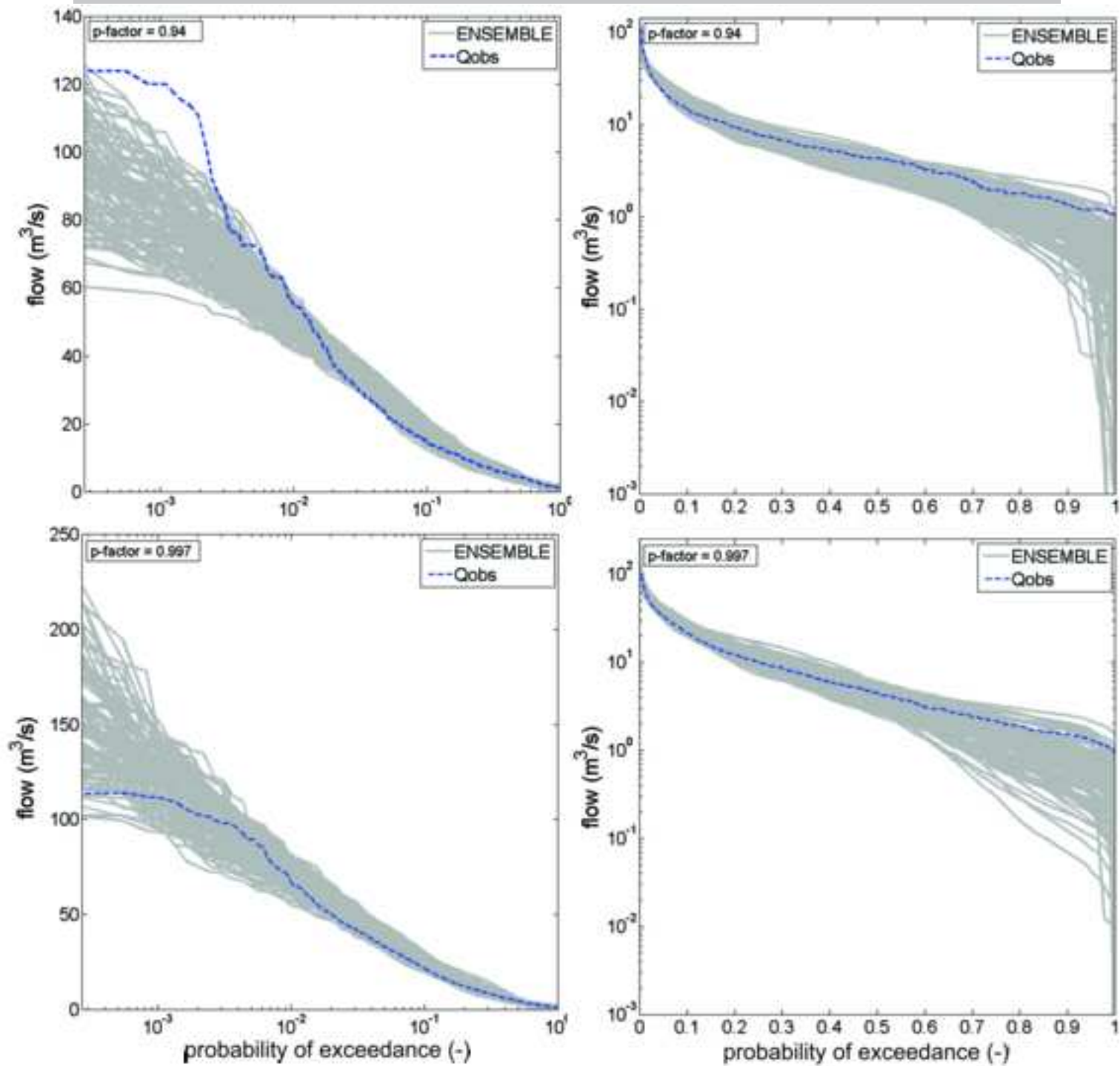
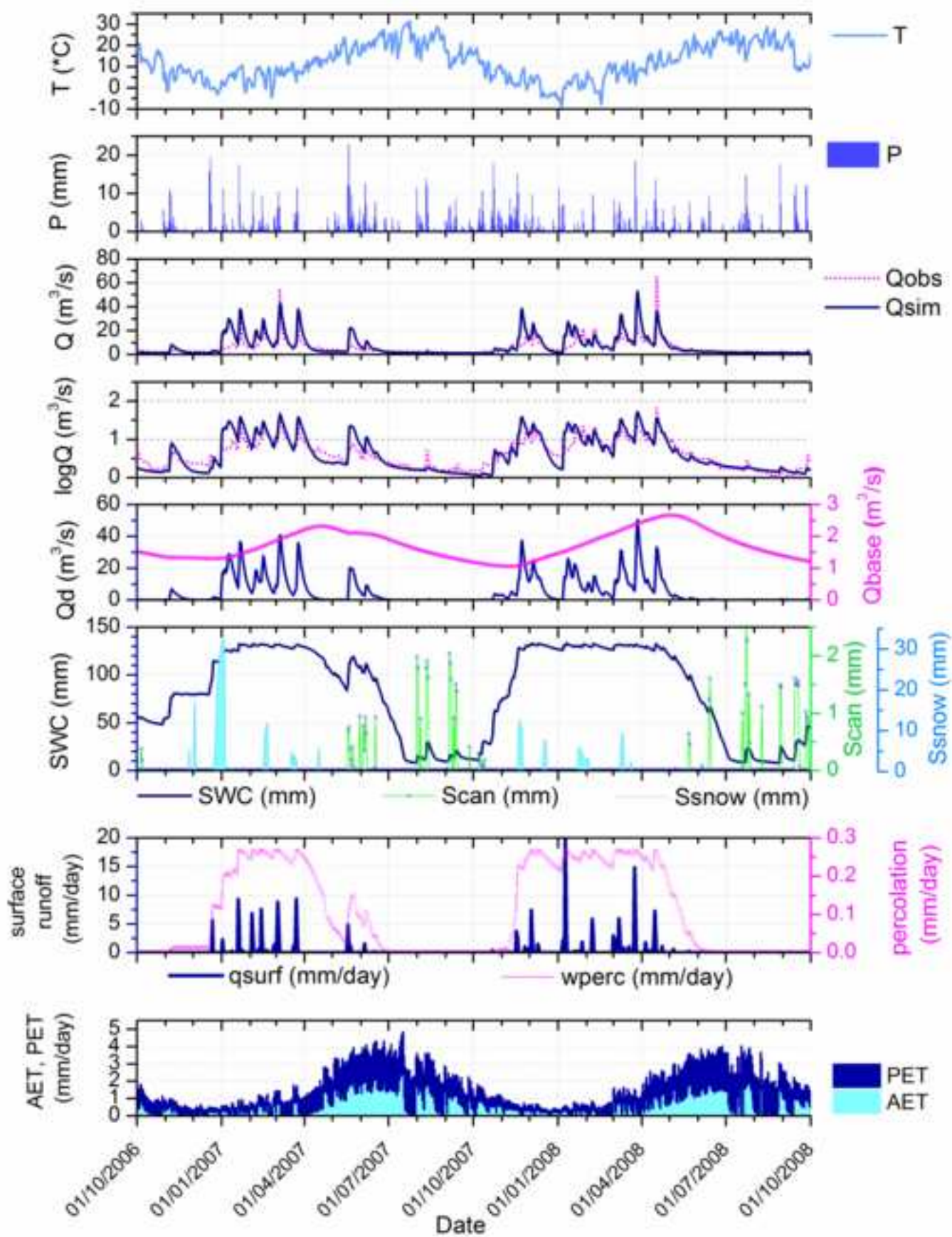


Figure12

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HIGHLIGHTS FOR THE ARTICLE:

The 3DNet-Catch Hydrologic Model: Development and Evaluation

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- 3DNet-Catch provides balanced model complexity and adaptability to local conditions
- 3DNet-Catch includes the interception, snow, soil, and runoff and channel routing
- A comprehensive evaluation of model parameterisation and performance is conducted
- Most model parameters are physically meaningful, well-identifiable and uncorrelated
- Consistently good performance despite input data from sparse meteorological network