

Note

The maximum number of P-vertices of some nonsingular double star matrices

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ABSTRACT

In this short note, we construct a nonsingular matrix A whose graph is a double star of order $n \geq 4$ with $n - 2$ P-vertices. This example leads to a positive answer, for $n \geq 6$, to a last open question proposed recently by Kim and Shader regarding the trees for which each nonsingular matrix has at most $n - 2$ P-vertices.

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1. Introduction

For a given $n \times n$ real symmetric matrix $A = (a_{ij})$, we define the graph of A , which we write as $G(A)$, as the (simple) graph whose vertex set is $\{1, \dots, n\}$ and edge set is $\{ij \mid i \neq j \text{ and } a_{ij} \neq 0\}$. We confine our attention to the set

$$\mathcal{S}(G) = \{A \in \mathbb{R}^{n \times n} \mid A \text{ is symmetric and } G(A) = G\},$$

i.e., the set of all symmetric matrices sharing a common graph G on n vertices. If G is a tree, then $A \in \mathcal{S}(G)$ is an irreducible acyclic matrix.

Let us denote the (algebraic) multiplicity of the eigenvalue θ of a symmetric matrix A by $m_A(\theta)$. By $A(i)$ we mean the $(n - 1) \times (n - 1)$ principal submatrix formed by the deletion of the row and column indexed with i . More generally, if S is a subset of the vertex set of G , then $A(S)$ is the principal submatrix obtained from A by striking out rows and columns S . By $A[S]$ we mean the principal submatrix of A whose rows and columns are indexed with S . The reader is referred to [8–10] for a full account regarding the terminology used throughout.

Probably the main consequence of Cauchy's Interlacing Theorem for the eigenvalues of symmetric matrices is the set of inequalities

$$m_A(\theta) - 1 \leq m_{A(i)}(\theta) \leq m_A(\theta) + 1.$$

In the case of $m_{A(i)}(\theta) = m_A(\theta) + 1$, the vertex i is known as a *Parter-vertex* of A for θ [8–10] or as a θ -positive vertex of G [3,5,6]. When $\theta = 0$, a Parter-vertex is simply called a *P-vertex* of A [9], and $P_v(A)$ denotes the number of P-vertices of A .

In 2004, Johnson and Sutton [7] showed that each singular acyclic matrix of order n has at most $n - 2$ P-vertices. Later, Kim and Shader proved in [8] that this does not hold for nonsingular acyclic matrices by constructing some examples for

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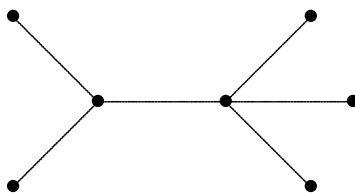


Fig. 1. The double star $S_{3,4}$.

paths and stars. Furthermore, these authors proved that $P_v(A) \leq n - 1$, for any nonsingular matrix A in $\mathcal{S}(T)$, when n is odd. Clearly, when n is even, we have $P_v(A) \leq n$. More recently, Anđelić et al. [2,1] considered other general cases and discussed some “continuity” properties of $P_v(A)$, when A runs over all tridiagonal matrices, for example.

One of the questions left open by Kim and Shader [8, Question (g), p. 407] concerned the existence of a tree T , of order n , such that for each nonsingular matrix $A \in \mathcal{S}(T)$, $P_v(A) \leq n - 2$. In this brief note, we provide a positive answer to this question. More precisely, using an elementary approach, we show that a double star of order ≥ 6 satisfies such an inequality.

2. Double stars

Let us recall that a *double star* is the tree obtained from two vertex disjoint stars by connecting their centers by a path. Double stars emerge often in the literature and constitute an important family of acyclic graphs [4,10]. Here we will consider two stars whose central vertices are joined by an edge. In order to be more precise, we write $S_{k_1 k_2}$, with $k_1 + k_2 = n$, specifying the sizes of the two “disjoint” stars (see Fig. 1). In particular, a star on n vertices is a double star of the form $S_{n-1,1}$.

Let us consider now for $n \geq 4$ the matrix

$$A_n = \left(\begin{array}{cccc|ccc} 1 & & & 1 & & & \\ & \ddots & & \vdots & & & \\ & & 1 & 1 & & & \\ \hline 1 & \dots & 1 & n-5 & 1 & & \\ & & & 1 & 0 & 1 & 1 \\ & & & & 1 & 1 & 0 \\ & & & & 1 & 0 & 0 \end{array} \right),$$

where the upper left block is of order $n - 3$.

We first observe that $\det A_n = 1$. On the other hand,

$$\det A_n(\ell) = 0, \quad \text{for } \ell \in \{1, \dots, n\} - \{n - 3, n - 1\}$$

and

$$\det A_n(n - 1) = -\det A_n(n - 3) = 1,$$

i.e.,

$$P_v(A_n) = n - 2.$$

We point out that the graph of A_n is the double star $S_{n-3,3}$.

3. The main result

We start this main section by observing that, for any $n = 2, 3, 4, 5$, it is possible to construct a nonsingular acyclic matrix whose graph is a path or a star of order n , with $n - 1$ or n P-vertices (see [1]). Moreover, for $n = 5$, the nonsingular matrix

$$A = \begin{pmatrix} 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 1 & 1 & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 \end{pmatrix}$$

has four P-vertices. In this case, the graph of A is the double star $S_{2,3}$. Therefore, for $n \leq 5$, the answer to Question (g) posed by Kim and Shader [8] is “no”. But, for $n \geq 6$, our result provides a positive answer to that question.

Theorem 3.1. For any nonsingular matrix $A \in \mathcal{S}(S_{n-3,3})$, with $n \geq 6$,

$$P_v(A) \leq n - 2.$$

Proof. We begin by noting that, for any nonsingular matrix $A = (a_{ij}) \in \mathcal{S}(S_{n-3,3})$, $P_v(A) \leq n - 1$ [1, Theorem 7.1]. Furthermore, at least one of the vertices $n - 2, n - 1, n$ is not a P -vertex. In fact, let us assume that those vertices are all P -vertices. Consequently

$$\det A = -a_{n,n-2}^2 a_{n-1,n-1} \det A(n-2, n-1, n) \neq 0, \quad (3.1)$$

$$\det A = -a_{n,n-1}^2 a_{n,n} \det A(n-2, n-1, n) \neq 0, \quad (3.2)$$

and

$$\det A(n-2) = a_{n-1,n-1} a_{n,n} \det A(n-2, n-1, n) = 0.$$

So, if $a_{nn} \neq 0$, we get a contradiction with (3.1); otherwise, $a_{nn} = 0$ will contradict (3.2).

Now, let us suppose that $P_v(A) = n - 1$. Since the vertex $n - 3$ is one of the centers of the double star, we have

$$0 = \det A(n-3) = a_{11} a_{22} \cdots a_{n-4,n-4} \det A[n-2, n-1, n]$$

and, on the other hand,

$$0 \neq \det A = -a_{1,n-3}^2 a_{22} \cdots a_{n-4,n-4} \det A[n-2, n-1, n],$$

because $\det A(1) = 0$. Therefore

$$a_{11} = 0.$$

But, since $\det A(2) = 0$, we also have

$$\det A = -a_{2,n-3}^2 a_{11} a_{33} \cdots a_{n-4,n-4} \det A[n-2, n-1, n] = 0,$$

which contradicts the nonsingularity of A . Taking into account the discussion in the previous section, the result follows. \square

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